

# TWISTED $k$ -GRAPH ALGEBRAS ASSOCIATED TO BRATTELI DIAGRAMS

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ABSTRACT. Given a system of coverings of  $k$ -graphs, we show that the cohomology of the resulting  $(k+1)$ -graph is isomorphic to that of any one of the  $k$ -graphs in the system. We then consider Bratteli diagrams of 2-graphs whose twisted  $C^*$ -algebras are matrix algebras over noncommutative tori. For such systems we calculate the ordered  $K$ -theory and the gauge-invariant semifinite traces of the resulting 3-graph  $C^*$ -algebras. We deduce that every simple  $C^*$ -algebra of this form is Morita equivalent to the  $C^*$ -algebra of a rank-2 Bratteli diagram in the sense of Pask-Raeburn-Rørdam-Sims.

## 1. INTRODUCTION

Elliott's classification program, as described in [10], has been a very active field of research in recent years. The program began with Elliott's classification of AF algebras by their  $K_0$ -groups in [8]. Elliott subsequently expanded this classification program to encompass all simple AT-algebras of real rank zero [9], and then, in work with Gong, expanded it still further to encompass more general AH algebras [12], leading to the classification of simple AH-algebras of slow dimension growth and of real rank zero [15, 27]. Paralleling these results for stably finite  $C^*$ -algebras is the classification by  $K$ -theory of Kirchberg algebras in the UCT class by Kirchberg and Phillips in the mid 1990s (see [15, 27]).

Shortly after the introduction of graph  $C^*$ -algebras, it was shown in [18] that every simple graph  $C^*$ -algebra is either purely infinite or AF, and so is classified by  $K$ -theory either by the results of [8] or by those of [15, 27]. As a result, the range of Morita-equivalence classes of simple  $C^*$ -algebras that can be realised by graph  $C^*$ -algebras is completely understood. The introduction of  $k$ -graphs and their  $C^*$ -algebras in [17] naturally raised the analogous question. But it was shown in [24] that there exist simple  $k$ -graph algebras which are direct limits of matrix algebras over  $C(\mathbb{T})$  and are neither AF nor purely infinite. The examples constructed there are, nonetheless, classified by their  $K$ -theory by [9], and the range of the invariant that they achieve is understood.

The general question of which simple  $C^*$ -algebras are Morita equivalent to  $k$ -graph  $C^*$ -algebras is far from being settled, and the corresponding question for the twisted  $k$ -graph  $C^*$ -algebras of [21] is even less-well understood. In this paper we consider a class of twisted  $k$ -graph  $C^*$ -algebras constructed using a procedure akin to that in [24], except that the simple cycles there used to generate copies of  $M_n(C(\mathbb{T}))$  are replaced here by 2-dimensional simple cycles whose twisted  $C^*$ -algebras are matrix algebras over noncommutative tori. When the noncommutative tori all correspond to the same irrational

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rotation, we compute the ordered  $K$ -theory of these examples by adapting Pimsner and Voiculescu's computation of the ordered  $K$ -theory of the rotation algebras. Computing the ordered  $K_0$ -groups makes heavy use of traces on the approximating subalgebras, and we finish by expanding on this to produce a detailed analysis of traces on the  $C^*$ -algebras of rank-3 Bratteli diagrams.

Remarkably, it turns out that our construction does not expand the range of Morita-equivalence classes of  $C^*$ -algebras obtained in [24]: For every rank-3 Bratteli diagram  $E$  and every irrational  $\theta$  such that the corresponding twisted  $C^*$ -algebra  $C^*(\Lambda_E, d_*c_\theta^3)$  of the rank-3 Bratteli diagram  $\Lambda_E$  is simple, there is a rank-2 Bratteli diagram  $\Gamma$  as in [24] whose  $C^*$ -algebra is Morita equivalent to  $C^*(\Lambda_E, d_*c_\theta^3)$  (see Corollary 5.13). However, this requires both Elliott's classification theorem and the Effros-Handelman-Shen characterisation of Riesz groups as dimension groups. In particular, the rank-2 Bratteli diagram  $\Gamma$  will depend heavily on the value of  $\theta$  as well as the diagram  $E$ .

In Section 3, following a short introduction on twisted  $(k+1)$ -graph  $C^*$ -algebras associated to covering sequences  $(\Lambda_n, p_n)$  of  $k$ -graphs, we look at the categorical cohomology of such  $(k+1)$ -graphs. We prove in Theorem 3.6 that each 2-cocycle on the  $(k+1)$ -graph  $\Lambda$  associated to a covering sequence  $(\Lambda_n, p_n)$  is — up to cohomology — completely determined by its restriction to the  $k$ -graph  $\Lambda_1$ .

In Section 4 we extend the notion of a covering sequence to a Bratteli diagram of covering maps for a singly connected Bratteli diagram  $E$ . We construct a  $(k+1)$ -graph  $\Lambda$  from a Bratteli diagram of covering maps between  $k$ -graphs  $(\Lambda_v)_{v \in E^0}$  and — upon fixing a 2-cocycle  $c$  on  $\Lambda$  — we show how to describe the twisted  $(k+1)$ -graph  $C^*$ -algebra  $C^*(\Lambda, c)$ , up to Morita equivalence, as an inductive limit of twisted  $k$ -graph  $C^*$ -algebras, each of which is a direct sum of  $C^*$ -algebras of the form  $M_{n_v}(C^*(\Lambda_v, c|_{\Lambda_v}))$  (see Theorem 4.4).

In Section 5, we prove our main results. We consider a particular class of 3-graphs associated to Bratteli diagrams of covering maps between rank-2 simple cycles; we call these 3-graphs rank-3 Bratteli diagrams. We show in Theorem 5.4 how to compute the ordered  $K$ -theory of twisted  $C^*$ -algebras of rank-3 Bratteli diagrams when the twisting cocycle is determined by a fixed irrational angle  $\theta$ . We investigate when such  $C^*$ -algebras are simple in Corollary 5.12 and then prove in Corollary 5.13 in the presence of simplicity these  $C^*$ -algebras can in fact be realised as the  $C^*$ -algebras of rank-2 Bratteli diagrams in the sense of [24]. In Section 6 we briefly present some explicit examples of our  $K$ -theory calculations. In Section 7, we describe an auxiliary AF algebra  $C^*(F)$  associated to each rank-3 Bratteli diagram  $\Lambda$ , and exhibit an injection from semifinite lower-semicontinuous traces on  $C^*(F)$  to gauge-invariant semifinite lower-semicontinuous traces of  $C^*(\Lambda, c)$ . We show that when  $c$  is determined by a fixed irrational rotation  $\theta$ , the map from traces on  $C^*(F)$  to traces on  $C^*(\Lambda, c)$  is a bijection.

## 2. PRELIMINARIES AND NOTATION

In this section we introduce the notion of  $k$ -graphs, covering sequences of  $k$ -graphs, and twisted  $k$ -graph  $C^*$ -algebras which we can associate to covering sequences. These are the main objects of study in this paper.

**2.1.  $k$ -graphs.** Following [17, 23, 29] we briefly recall the notion of  $k$ -graphs. For  $k \geq 0$ , a  $k$ -graph is a nonempty countable small category equipped with a functor  $d: \Lambda \rightarrow \mathbb{N}^k$  that satisfies the *factorisation property*: for all  $\lambda \in \Lambda$  and  $m, n \in \mathbb{N}^k$  such that  $d(\lambda) = m + n$  there exist unique  $\mu, \nu \in \Lambda$  such that  $d(\mu) = m$ ,  $d(\nu) = n$ , and  $\lambda = \mu\nu$ . When  $d(\lambda) = n$

we say  $\lambda$  has *degree*  $n$ , and we write  $\Lambda^n = d^{-1}(n)$ . The standard generators of  $\mathbb{N}^k$  are denoted  $e_1, \dots, e_k$ , and we write  $n_i$  for the  $i^{\text{th}}$  coordinate of  $n \in \mathbb{N}^k$ . For  $m, n \in \mathbb{N}^k$ , we write  $m \vee n$  for their coordinate-wise maximum, and define a partial order on  $\mathbb{N}^k$  by  $m \leq n$  if  $m_i \leq n_i$  for all  $i$ .

If  $\Lambda$  is a  $k$ -graph, its *vertices* are the elements of  $\Lambda^0$ . The factorisation property implies that these are precisely the identity morphisms, and so can be identified with the objects. For  $\alpha \in \Lambda$  the *source*  $s(\alpha)$  is the domain of  $\alpha$ , and the *range*  $r(\alpha)$  is the codomain of  $\alpha$  (strictly speaking,  $s(\alpha)$  and  $r(\alpha)$  are the identity morphisms associated to the domain and codomain of  $\alpha$ ).

For  $u, v \in \Lambda^0$  and  $E \subset \Lambda$ , we write  $uE := E \cap r^{-1}(u)$  and  $Ev := E \cap s^{-1}(v)$ . For  $n \in \mathbb{N}^k$ , we write

$$\Lambda^{\leq n} := \{\lambda \in \Lambda : d(\lambda) \leq n \text{ and } s(\lambda)\Lambda^{e_i} = \emptyset \text{ whenever } d(\lambda) + e_i \leq n\}.$$

We say that  $\Lambda$  is *connected* if the equivalence relation on  $\Lambda^0$  generated by  $\{(v, w) \in \Lambda^0 \times \Lambda^0 : v\Lambda w \neq \emptyset\}$  is the whole of  $\Lambda^0 \times \Lambda^0$ . We say that  $\Lambda$  is *strongly connected* if  $v\Lambda w$  is nonempty for all  $v, w \in \Lambda^0$ . A *morphism* between  $k$ -graphs is a degree-preserving functor. We say that  $\Lambda$  is *row-finite* if  $v\Lambda^n$  is finite for all  $v \in \Lambda^0$  and  $n \in \mathbb{N}^k$ , and  $\Lambda$  is *locally convex* if whenever  $1 \leq i < j \leq k$ ,  $e \in \Lambda^{e_i}$ ,  $f \in \Lambda^{e_j}$  and  $r(e) = r(f)$ , we can extend both  $e$  and  $f$  to paths  $ee'$  and  $ff'$  in  $\Lambda^{e_i+e_j}$ . For  $\lambda, \mu \in \Lambda$  we write

$$\Lambda^{\min}(\lambda, \mu) := \{(\alpha, \beta) : \lambda\alpha = \mu\beta \text{ and } d(\lambda\alpha) = d(\lambda) \vee d(\mu)\}$$

for the collection of pairs which give minimal common extensions of  $\lambda$  and  $\mu$ .

A standard example of a  $k$ -graph is  $T_k := \mathbb{N}^k$  regarded as a  $k$ -graph with  $d = \text{id}_{\mathbb{N}^k}$ .

**2.2. Covering sequences.** Following [19] a surjective morphism  $p : \Gamma \rightarrow \Lambda$  between  $k$ -graphs is a *covering* if it restricts to bijections  $\Gamma v \mapsto \Lambda p(v)$  and  $v\Gamma \mapsto p(v)\Lambda$  for  $v \in \Gamma^0$ . A covering  $p : \Gamma \rightarrow \Lambda$  is *finite* if  $p^{-1}(v)$  is finite for all  $v \in \Lambda^0$ .

**Definition 2.1.** A *covering sequence*  $(\Lambda_n, p_n)$  of  $k$ -graphs consists of  $k$ -graphs  $\Lambda_n$  and a sequence

$$\Lambda_1 \xleftarrow{p_1} \Lambda_2 \xleftarrow{p_2} \Lambda_3 \xleftarrow{p_3} \dots$$

of covering maps  $p_n : \Lambda_{n+1} \rightarrow \Lambda_n$ .

Given a covering sequence  $(\Lambda_n, p_n)$  of  $k$ -graphs [19, Corollary 2.11] shows that there exist a unique  $(k+1)$ -graph  $\Lambda = \lim_n(\Lambda_n, p_n)$ , together with injective functors  $\iota_n : \Lambda_n \rightarrow \Lambda$  and a bijective map  $e : \bigsqcup_{n \geq 2} \Lambda_n^0 \rightarrow \Lambda^{e_{k+1}}$ , such that, identifying  $\mathbb{N}^{k+1}$  with  $\mathbb{N}^k \oplus \mathbb{N}$ ,

- (1)  $d(\iota_n(\lambda)) = (d(\lambda), 0)$ , for  $\lambda \in \Lambda_n$ ,
- (2)  $\iota_m(\Lambda_m) \cap \iota_n(\Lambda_n) = \emptyset$ , for  $m \neq n$ ,
- (3)  $\bigsqcup_{n \geq 1} \iota_n(\Lambda_n) = \{\lambda \in \Lambda : d(\lambda)_{k+1} = 0\}$ ,
- (4)  $s(e(v)) = \iota_{n+1}(v)$ ,  $r(e(v)) = \iota_n(p_n(v))$ , for  $v \in \Lambda_{n+1}^0$ , and
- (5)  $e(r(\lambda))\iota_{n+1}(\lambda) = \iota_n(p_n(\lambda))e(s(\lambda))$ , for  $\lambda \in \Lambda_{n+1}$ .

We often suppress the inclusion maps  $\iota_n$  and view the  $\Lambda_n$  as subsets of  $\Lambda$ . For  $n > m$  we define  $p_{m,n} := p_m \circ p_{m+1} \circ \dots \circ p_{n-2} \circ p_{n-1} : \Lambda_n \rightarrow \Lambda_m$ ; we define  $p_{m,m} = \text{id}_{\Lambda_m}$ .

**2.3. Twisted  $k$ -graph  $C^*$ -algebras.** Let  $\Lambda$  be a row-finite locally convex  $k$ -graph and let  $c \in Z^2(\Lambda, \mathbb{T})$ . A *Cuntz-Krieger  $(\Lambda, c)$ -family* in a  $C^*$ -algebra  $B$  is a function  $s : \lambda \mapsto s_\lambda$  from  $\Lambda$  to  $B$  such that

(CK1)  $\{s_v : v \in \Lambda^0\}$  is a collection of mutually orthogonal projections;

- (CK2)  $s_\mu s_\nu = c(\mu, \nu) s_{\mu\nu}$  whenever  $s(\mu) = r(\nu)$ ;  
 (CK3)  $s_\lambda^* s_\lambda = s_{s(\lambda)}$  for all  $\lambda \in \Lambda$ ; and  
 (CK4)  $s_v = \sum_{\lambda \in v\Lambda \leq n} s_\lambda s_\lambda^*$  for all  $v \in \Lambda^0$  and  $n \in \mathbb{N}^k$ .

The following Lemma shows that our definition is consistent with previous literature.

**Lemma 2.2.** *Let  $\Lambda$  be a row-finite locally convex  $k$ -graph and let  $c \in Z^2(\Lambda, \mathbb{T})$ . A function  $s : \lambda \mapsto s_\lambda$  from  $\Lambda$  to a  $C^*$ -algebra  $B$  is a Cuntz-Krieger  $(\Lambda, c)$ -family if and only if it is a Cuntz-Krieger  $(\Lambda, c)$ -family in the sense of [33].*

*Proof.* Recall that  $E \subseteq v\Lambda$  is *exhaustive* if for every  $\lambda \in v\Lambda$  there exists  $\mu \in E$  such that  $\Lambda^{\min}(\lambda, \mu) \neq \emptyset$ . According to [31], a Cuntz-Krieger  $(\Lambda, c)$ -family is a function  $s : \lambda \mapsto s_\lambda$  such that

- (TCK1)  $\{s_v : v \in \Lambda^0\}$  is a collection of mutually orthogonal projections;  
 (TCK2)  $s_\mu s_\nu = c(\mu, \nu) s_{\mu\nu}$  whenever  $s(\mu) = r(\nu)$ ;  
 (TCK3)  $s_\lambda^* s_\lambda = s_{s(\lambda)}$  for all  $\lambda \in \Lambda$ ;  
 (TCK4)  $s_\mu s_\mu^* s_\nu s_\nu^* = \sum_{(\alpha, \beta) \in \Lambda^{\min}(\lambda, \mu)} s_{\lambda\alpha} s_{\lambda\alpha}^*$  for all  $\mu, \nu \in \Lambda$ ; and  
 (CK)  $\prod_{\lambda \in E} (s_v - s_\lambda s_\lambda^*) = 0$  for all  $v \in \Lambda^0$  and finite *exhaustive*  $E \subseteq v\Lambda$ .

So we must show that (CK1)–(CK4) are equivalent to (TCK1)–(CK).

First suppose that  $s$  satisfies (CK1)–(CK4). Then it clearly satisfies (TCK1)–(TCK3). For (TCK4), fix  $\lambda, \mu \in \Lambda$ . It suffices to show that  $s_\lambda^* s_\mu = \sum_{(\alpha, \beta) \in \Lambda^{\min}(\lambda, \mu)} c(\lambda, \alpha) c(\mu, \beta) s_\alpha s_\beta^*$  (see [33, Lemma 3.1.5]). Define  $N := d(\lambda) \vee d(\mu)$  and  $E' := \{(\alpha, \beta) : \lambda\alpha = \mu\beta, \lambda\alpha \in r(\lambda)\Lambda^{\leq N}\}$ . The proof of [29, Proposition 3.5] gives  $s_\lambda^* s_\mu = \sum_{(\alpha, \beta) \in E'} c(\lambda, \alpha) c(\mu, \beta) s_\alpha s_\beta^*$ . Clearly  $\Lambda^{\min}(\lambda, \mu) \subseteq E'$  since  $r(\lambda)\Lambda^N \subseteq r(\lambda)\Lambda^{\leq N}$ . Conversely for any  $(\alpha, \beta) \in E'$  we have  $\lambda\alpha = \mu\beta$  by definition, and hence  $d(\lambda\alpha) \geq N$ . Since  $\lambda\alpha \in \Lambda^{\leq N}$ , we also have  $d(\lambda\alpha) \leq N$ , and hence we have equality. Thus  $E' = \Lambda^{\min}(\lambda, \mu)$ . This gives (TCK4).

For (CK), fix  $v \in \Lambda^0$  and a finite exhaustive  $E \subseteq v\Lambda$ . With  $N := \bigvee_{\lambda \in E} d(\lambda)$  and  $E' := \{\lambda\nu : \lambda \in E, \nu \in s(\lambda)\Lambda^{\leq N-d(\lambda)}\}$  we have  $E' = v\Lambda^{\leq N}$  by an induction using [29, Lemma 3.12]. Relation (TCK4) implies that the  $s_\lambda s_\lambda^*$  where  $\lambda \in E$  commute, and that the  $s_{\lambda\nu} s_{\lambda\nu}^*$  are mutually orthogonal. Also, (TCK2) implies that  $s_v - s_\lambda s_\lambda^* \leq s_v - s_{\lambda\nu} s_{\lambda\nu}^*$  for all  $\lambda\nu \in E'$ , and so

$$\prod_{\lambda \in E} (s_v - s_\lambda s_\lambda^*) \leq \prod_{\mu \in E'} (s_v - s_\mu s_\mu^*) = s_v - \sum_{\mu \in v\Lambda^{\leq N}} s_\mu s_\mu^* = 0.$$

Now suppose that  $s$  satisfies (TCK1)–(CK). Then it clearly satisfies (CK1)–(CK3). For (CK4), fix  $v \in \Lambda^0$  and  $1 \leq i \leq k$  with  $E := v\Lambda^{e_i} \neq \emptyset$ . Then (TCK4) implies that the  $s_\lambda s_\lambda^*$  for  $\lambda \in E$  are mutually orthogonal. Since  $E \subseteq v\Lambda$  is finite and exhaustive (CK) then gives

$$0 = \prod_{\lambda \in E} (s_v - s_\lambda s_\lambda^*) = s_v - \sum_{\lambda \in E} s_\lambda s_\lambda^*. \quad \square$$

The *twisted  $k$ -graph  $C^*$ -algebra*  $C^*(\Lambda, c)$  is the universal  $C^*$ -algebra generated by a Cuntz-Krieger  $(\Lambda, c)$ -family.

### 3. COVERING SEQUENCES AND COHOMOLOGY

We will be interested in twisted  $C^*$ -algebras associated to 3-graphs analogous to the rank-2 Bratteli diagrams of [24] (see Section 5). The building blocks for these 3-graphs are covering systems of  $k$ -graphs. In this section we investigate their second cohomology

groups. Our results substantially simplify the problem of studying the associated  $C^*$ -algebras later because it allows us to assume the twisting 2-cocycles are pulled back from a cocycle of a standard form on  $\mathbb{Z}^2$  (see Remark 5.7).

We briefly recap the categorical cohomology of  $k$ -graphs (see [21]). Let  $\Lambda$  be a  $k$ -graph, and let  $A$  be an abelian group. For each integer  $r \geq 1$ , let  $\Lambda^{*r} := \{(\lambda_1, \dots, \lambda_r) \in \prod_{i=1}^r \Lambda : s(\lambda_i) = r(\lambda_{i+1}) \text{ for each } i\}$  be the collection of *composable*  $r$ -tuples in  $\Lambda$ , and let  $\Lambda^{*0} := \Lambda^0$ . For  $r \geq 1$ , a function  $f : \Lambda^{*r} \rightarrow A$  is an  $r$ -cochain if  $f(\lambda_1, \dots, \lambda_r) = 0$  whenever  $\lambda_i \in \Lambda^0$  for some  $i \leq r$ . A 0-cochain is any function  $f : \Lambda^0 \rightarrow A$ . We write  $C^r(\Lambda, A)$  for the group of all  $r$ -cochains under pointwise addition. Define maps  $\delta^r : C^r(\Lambda, A) \rightarrow C^{r+1}(\Lambda, A)$  by  $\delta^0(f)(\lambda) = f(s(\lambda)) - f(r(\lambda))$  and

$$\begin{aligned} \delta^r(f)(\lambda_0, \dots, \lambda_r) &= f(\lambda_1, \dots, \lambda_r) \\ &+ \sum_{i=1}^r (-1)^i f(\lambda_0, \dots, \lambda_{i-2}, \lambda_{i-1}\lambda_i, \lambda_{i+1}, \dots, \lambda_r) \\ &+ (-1)^{r+1} f(\lambda_0, \dots, \lambda_{r-1}) \quad \text{for } r \geq 1. \end{aligned}$$

Let  $B^r(\Lambda, A) := \text{im}(\delta^{r-1})$  and  $Z^r(\Lambda, A) = \ker(\delta^r)$ . A calculation [21, (3.3)–(3.5)] shows that  $B^r(\Lambda, A) \subseteq Z^r(\Lambda, A)$ . We define  $H^r(\Lambda, A) := Z^r(\Lambda, A)/B^r(\Lambda, A)$ . We call the elements of  $B^r(\Lambda, A)$   $r$ -coboundaries, and the elements of  $Z^r(\Lambda, A)$   $r$ -cocycles. A 2-cochain  $c \in C^2(\Lambda, A)$  is a 2-cocycle if and only if it satisfies the *cocycle identity*  $c(\lambda, \mu) + c(\lambda\mu, \nu) = c(\mu, \nu) + c(\lambda, \mu\nu)$ .

As a notational convention, if  $\Gamma \subseteq \Lambda$  is a subcategory and  $c \in Z^r(\Lambda, A)$  then we write  $c|_\Gamma$ , rather than  $c|_{\Gamma^{*r}}$  for the restriction of  $c$  to the composable  $r$ -tuples of  $\Gamma$ . If  $\Lambda$  and  $\Gamma$  are  $k$ -graphs and  $\phi : \Lambda \rightarrow \Gamma$  is a functor, and if  $c \in Z^2(\Gamma, A)$ , then  $\phi_*c : \Lambda^{*2} \rightarrow A$  is defined by  $\phi_*c(\lambda, \mu) = c(\phi(\lambda), \phi(\mu))$ .

In [21], the categorical cohomology groups described above were decorated with an underline to distinguish them from the cubical cohomology groups of [20]. In this paper, we deal only with categorical cohomology, so we have chosen to omit the underlines.

**Definition 3.1.** Let  $\Lambda = \lim_n(\Lambda_n, p_n)$  be the  $(k+1)$ -graph associated to a covering sequence of  $k$ -graphs. A sequence  $(c_n)$  of cocycles  $c_n \in Z^2(\Lambda_n, A)$  is *compatible* if there is a 2-cocycle  $c \in Z^2(\Lambda, A)$  such that  $c|_{\Lambda_n} = c_n$  for  $n \geq 1$ ; we say that the  $c_n$  are compatible *with respect to*  $c$ .

Let  $\Lambda = \lim_n(\Lambda_n, p_n)$  be the  $(k+1)$ -graph associated to a covering sequence of  $k$ -graphs. For each  $v \in \Lambda^0$  there is a unique element  $\xi_v$  of  $\Lambda_1^0 \Lambda^{\text{Ne}_{k+1}} v$ ; if  $v \in \Lambda_n^0$ , then  $r(\xi_v) = p_{1,n}(v)$ . The factorisation property implies that for each  $\lambda \in \Lambda$  there is a unique factorisation

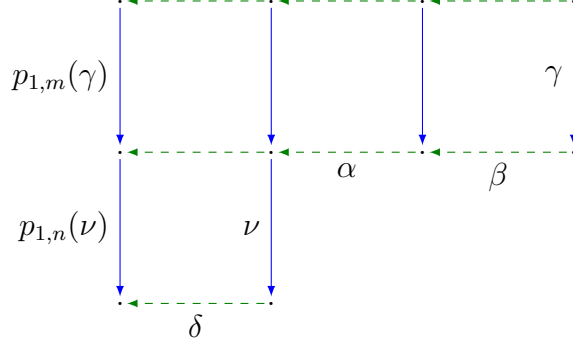
$$(3.1) \quad \xi_{r(\lambda)}\lambda = \pi(\lambda)\beta \text{ with } \pi(\lambda) \in \Lambda_1 \text{ and } \beta \in \Lambda^{\text{Ne}_{k+1}}.$$

We call the assignment  $\lambda \mapsto \pi(\lambda)$  the *projection* of  $\Lambda$  onto  $\Lambda_1$ .

Observe that if  $\lambda \in \Lambda^n$ , then  $\pi(\lambda) = p_{1,n}(\lambda)$ , and if  $\lambda \in \Lambda^{\text{Ne}_{k+1}}$  with  $r(\lambda) \in \Lambda_n^0$ , then  $\pi(\lambda) = p_{1,n}(r(\lambda))$ . In particular,  $\pi(\xi_v) = r(\xi_v) = p_{1,n}(v)$  for  $v \in \Lambda_n^0$ . In general, if  $r(\lambda) \in \Lambda_n^0$ , then we can factorise  $\lambda = \lambda'\lambda''$  with  $\lambda' \in \Lambda_n$  and  $\lambda'' \in \Lambda^{\text{Ne}_{k+1}}$ , and then  $\pi(\lambda) = p_{1,n}(\lambda')$ .

**Lemma 3.2.** *Let  $\Lambda = \lim_n(\Lambda_n, p_n)$  be the  $(k+1)$ -graph associated to a covering sequence of  $k$ -graphs. The projection  $\pi$  of  $\Lambda$  onto  $\Lambda_1$  of (3.1) is a functor. The formula  $\pi_*c(\lambda_1, \lambda_2) = c(\pi(\lambda_1), \pi(\lambda_2))$  for  $(\lambda_1, \lambda_2) \in \Lambda^{*2}$  determines a homomorphism  $\pi_* : Z^2(\Lambda_1, A) \rightarrow Z^2(\Lambda, A)$ .*

*Proof.* Fix  $(\lambda, \mu) \in \Lambda^{*2}$  and factorise  $\lambda = \nu\alpha$  and  $\mu = \beta\gamma$  where  $\nu \in \Lambda_n$ ,  $\gamma \in \Lambda_m$ , and  $\alpha, \beta \in \Lambda^{\mathbb{N}e_{k+1}}$ . The factorisation property gives  $\pi(\lambda\mu) = p_{1,n}(\nu)p_{1,m}(\gamma) = \pi(\lambda)\pi(\mu)$ :



Hence  $\pi$  is a functor.

Since functors send identity morphisms to identity morphisms, it follows immediately that  $\pi_*c$  is a 2-cocycle. Since the operations in the cohomology groups are pointwise,  $\pi_*$  is a homomorphism.  $\square$

Using the covering maps between the  $k$ -graphs in a covering sequence we can build a compatible sequence of 2-cocycles from a 2-cocycle on  $\Lambda_1$ .

**Theorem 3.3.** *Let  $\Lambda = \lim_n(\Lambda_n, p_n)$  be the  $(k+1)$ -graph associated to a covering sequence of  $k$ -graphs, and let  $c \in Z^2(\Lambda_1, A)$ . Let  $c_n := (p_{1,n})_*c$  for  $n \geq 1$ . Then each  $c_n \in Z^2(\Lambda_n, A)$ , and the  $c_n$  are compatible with respect to  $\bar{c} := \pi_*c$ .*

*Proof.* For  $\lambda \in \Lambda_n$ , repeated use of property (5) of  $\Lambda$  shows that  $\pi(\lambda) = p_{1,n}(\lambda)$ . Hence  $\bar{c}|_{\Lambda_n} = (\pi|_{\Lambda_n})_*c = c_n$  for  $n \geq 1$ . Lemma 3.2 implies that  $\bar{c}$  is a 2-cocycle on  $\Lambda$ . Since the restriction of a 2-cocycle is again a 2-cocycle it follows that  $c_n = \bar{c}|_{\Lambda_n}$  is a 2-cocycle on  $\Lambda_n$ .  $\square$

Theorem 3.3 provides a map  $c \mapsto \bar{c}$  from 2-cocycles on  $\Lambda_1$  to 2-cocycles on  $\Lambda$ . It turns out that this is essentially the only way to construct 2-cocycles on  $\Lambda$  (we will make this more precise in Theorem 3.6).

**Lemma 3.4.** *Let  $\Lambda = \lim_n(\Lambda_n, p_n)$  be the  $(k+1)$ -graph associated to a covering sequence of  $k$ -graphs, and let  $c \in Z^2(\Lambda_1, A)$ . There exists a unique 2-cocycle  $c'$  on  $\Lambda$  extending  $c$  such that*

$$(3.2) \quad c'(\lambda, \mu) = 0 \quad \text{whenever } \lambda \in \Lambda^{\mathbb{N}e_{k+1}} \text{ or } \mu \in \Lambda^{\mathbb{N}e_{k+1}}.$$

*Proof.* Theorem 3.3 implies that  $\bar{c}$  satisfies  $\bar{c}|_{\Lambda_1} = c$ , and since  $\pi(\lambda) \in \Lambda_1^0$  whenever  $\lambda \in \Lambda^{\mathbb{N}e_{k+1}}$ ,

$$\bar{c}(\lambda, \mu) = c(\pi(\lambda), \pi(\mu)) = 0 \quad \text{whenever } \lambda \in \Lambda^{\mathbb{N}e_{k+1}} \text{ or } \mu \in \Lambda^{\mathbb{N}e_{k+1}}.$$

Now suppose that  $c' \in Z^2(\Lambda, A)$  satisfies (3.2).

We claim first that

$$(3.3) \quad c'(\alpha\lambda, \mu\beta) = c'(\lambda, \mu)$$

whenever  $\alpha, \beta \in \Lambda^{\mathbb{N}e_{k+1}}$  and  $\lambda, \mu \in \Lambda_n$ . To see this, observe that (3.2) gives

$$c'(\alpha, \lambda) = 0, \quad c'(\alpha, \lambda\mu\beta) = 0, \quad c'(\mu, \beta) = 0 \quad \text{and} \quad c'(\lambda\mu, \beta) = 0.$$

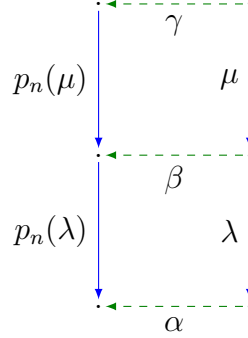
Repeated application of the cocycle identity gives

$$\begin{aligned} c'(\alpha\lambda, \mu\beta) &= c'(\alpha\lambda, \mu\beta) + c'(\alpha, \lambda) + c'(\mu, \beta) \\ &= c'(\alpha, \lambda\mu\beta) + c'(\lambda, \mu\beta) + c'(\mu, \beta) = c'(\lambda, \mu) + c'(\lambda\mu, \beta) = c'(\lambda, \mu). \end{aligned}$$

We now claim that

$$(3.4) \quad c'(\lambda, \mu) = c'(p_n(\lambda), p_n(\mu)),$$

for composable  $\lambda, \mu \in \Lambda_{n+1}$ . To see this, use property (5) of  $\Lambda$  to find  $\alpha, \beta, \gamma$  in  $\Lambda^{e_{k+1}}$  such that  $\alpha\lambda = p_n(\lambda)\beta$  and  $\beta\mu = p_n(\mu)\gamma$ :



Then

$$c'(p_n(\lambda)\beta, \mu) + c'(p_n(\lambda), \beta) = c'(p_n(\lambda), \beta\mu) + c'(\beta, \mu),$$

and so (3.2) gives  $c'(p_n(\lambda)\beta, \mu) = c'(c'(p_n(\lambda), \beta\mu))$ . Now (3.3) shows that

$$\begin{aligned} c'(p_n(\lambda), p_n(\mu)) &= c'(p_n(\lambda), p_n(\mu)\gamma) = c'(p_n(\lambda), \beta\mu) \\ &= c'(p_n(\lambda)\beta, \mu) = c'(\alpha\lambda, \mu) = c'(\lambda, \mu). \end{aligned}$$

We now show that  $c' = \bar{c}$ . We have seen that both  $c'$  and  $\bar{c}$  satisfy (3.2), and so they both satisfy (3.3). It therefore suffices to show that  $c'|_{\Lambda_n} = \bar{c}|_{\Lambda_n}$  for each  $n$ . Fix composable  $\lambda, \mu \in \Lambda^n$ . We have  $\bar{c}(\lambda, \mu) = c(p_{1,n}(\lambda), p_{1,n}(\mu))$  by definition. Repeated applications of (3.4) give  $c'(\lambda, \mu) = c'(p_{1,n}(\lambda), p_{1,n}(\mu))$ . Since  $c'$  extends  $c$ , we deduce that  $c'(\lambda, \mu) = \bar{c}(\lambda, \mu)$ .  $\square$

**Lemma 3.5.** *Let  $\Lambda = \lim_n(\Lambda_n, p_n)$  be the  $(k+1)$ -graph associated to a covering sequence of  $k$ -graphs, and let  $c \in Z^2(\Lambda, A)$ . Let  $\bar{c}|_{\Lambda_1} = \pi_*(c|_{\Lambda_1})$  as in Theorem 3.3. Then there exists  $b \in C^1(\Lambda, A)$  such that*

$$c - \delta^1 b = \overline{c|_{\Lambda_1}}.$$

*Proof.* For  $v \in \Lambda^0$  let  $\xi_v$  be the unique element of  $\Lambda_1^0 \Lambda^{Ne_{k+1}v}$ . For  $\lambda \in \Lambda$  define

$$b(\lambda) = c(\xi_{r(\lambda)}, \lambda) - c(\pi(\lambda), \xi_{s(\lambda)}).$$

If  $\lambda \in \Lambda^0$  then  $\pi(\lambda) \in \Lambda^0$  as well, and so  $b(\lambda) = 0$ . So  $b \in C^1(\Lambda, A)$ .

Since the restriction of the maps  $b$  and  $\delta^1 b(\lambda, \mu) = b(\lambda) + b(\mu) - b(\lambda\mu)$  to  $\Lambda_1$  are identically zero, the cocycle  $c' := c - \delta^1 b$  extends  $c$ . To conclude that  $c' = \overline{c|_{\Lambda_1}}$  it now suffices, by Lemma 3.4, to verify that  $c'$  satisfies (3.2).

We first prove that

$$(3.5) \quad c'(\lambda, \mu) = 0 \text{ whenever } \lambda \in \Lambda^{Ne_{k+1}}.$$

Fix such a composable pair  $\lambda, \mu \in \Lambda$ , and factorise  $\mu = \eta\beta'$  with  $\eta \in \Lambda_n$  and  $\beta' \in \Lambda^{\text{Ne}_{k+1}}$ . Let  $l$  be the integer such that  $r(\lambda) \in \Lambda_l^0$ . By property (5) of  $\Lambda$  there exist  $\lambda', \beta$  in  $\Lambda^{\text{Ne}_{k+1}}$  with  $r(\lambda') \in \Lambda_l^0$  and  $r(\beta) \in \Lambda_1^0$ , and  $\gamma \in \Lambda_m^{d(\eta)}$  such that  $\xi_{r(\lambda)}\lambda\beta\gamma = p_{1,n}(\eta)\xi_{r(\lambda')}\lambda'\beta'$ :

$$\begin{array}{ccccc}
 & \xleftarrow{\xi_{r(\lambda')}} & & \xleftarrow{\lambda'} & & \xleftarrow{\beta'} & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 p_{1,n}(\eta) & & & & & & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & \xleftarrow{\xi_{r(\lambda)}} & & \xleftarrow{\lambda} & & \xleftarrow{\beta} & \\
 & & & & & & 
 \end{array}$$

We have  $\pi(\mu) = \pi(\eta) = p_{1,n}(\eta) = \pi(\gamma) = p_{1,m}(\gamma)$ . We prove (3.5) in three steps.

(1) First we show that  $c'(\beta, \gamma) = 0$  and  $c'(\lambda\beta, \gamma) = 0$ . The cocycle identity gives  $c(\xi_{r(\beta)}, \beta\gamma) + c(\beta, \gamma) = c(\xi_{r(\beta)}\beta, \gamma) + c(\xi_{r(\beta)}, \beta)$ . Since  $\xi_{r(\beta)}\beta = \xi_{r(\gamma)}$ , the definition of  $b$  gives

$$\begin{aligned}
 c'(\beta, \gamma) &= c(\beta, \gamma) - b(\beta) - b(\gamma) + b(\beta\gamma) \\
 &= c(\beta, \gamma) - \left(c(\xi_{r(\beta)}, \beta) - 0\right) - \left(c(\xi_{r(\gamma)}, \gamma) - c(p_{1,n}(\gamma), \xi_{s(\gamma)})\right) \\
 &\quad + \left(c(\xi_{r(\beta)}, \beta\gamma) - c(p_{1,n}(\gamma), \xi_{s(\gamma)})\right) \\
 &= \left(c(\xi_{r(\beta)}, \beta\gamma) + c(\beta, \gamma)\right) - \left(c(\xi_{r(\beta)}\beta, \gamma) + c(\xi_{r(\beta)}, \beta)\right) = 0.
 \end{aligned}$$

Applying this calculation to  $\lambda\beta$  rather than  $\beta$  gives  $c'(\lambda\beta, \gamma) = 0$  as well.

(2) Next we show that  $c'(\lambda, \beta) = 0$ . We have  $c'(\xi_{r(\lambda)}, \lambda\beta) + c'(\lambda, \beta) = c'(\xi_{r(\lambda)}\lambda, \beta) + c'(\xi_{r(\lambda)}, \lambda)$ . Since  $\xi_{r(\lambda)}\lambda = \xi_{r(\beta)}$  it follows that

$$\begin{aligned}
 c'(\lambda, \beta) &= c(\lambda, \beta) - b(\lambda) - b(\beta) + b(\lambda\beta) \\
 &= c(\lambda, \beta) - \left(c(\xi_{r(\lambda)}, \lambda) - 0\right) - \left(c(\xi_{r(\beta)}, \beta) - 0\right) + \left(c(\xi_{r(\lambda)}, \lambda\beta) - 0\right) \\
 &= \left(c(\xi_{r(\lambda)}, \lambda\beta) + c(\lambda, \beta)\right) - \left(c(\xi_{r(\lambda)}\lambda, \beta) + c(\xi_{r(\lambda)}, \lambda)\right) = 0.
 \end{aligned}$$

(3) Finally, to establish (3.5), we apply the cocycle identity  $c'(\lambda\beta, \gamma) + c'(\lambda, \beta) = c'(\lambda, \beta\gamma) + c'(\beta, \gamma)$  and steps (1) and (2) to see that

$$c'(\lambda, \mu) = c'(\lambda, \eta\beta') = c'(\lambda, \beta\gamma) = 0.$$

It remains to show that

$$(3.6) \quad c'(\lambda, \mu) = 0 \quad \text{whenever } \mu \in \Lambda^{\text{Ne}_{k+1}}.$$

Fix such a composable pair  $\lambda, \mu \in \Lambda$ , and factorise  $\lambda = \alpha\eta$  with  $\alpha \in \Lambda^{\text{Ne}_{k+1}}$  and  $\eta \in \Lambda_n$ . Using the factorisation property, we obtain  $\alpha' \in \Lambda^{d(\alpha)}$ ,  $\mu' \in \Lambda^{d(\mu)}$  and  $\gamma \in \Lambda_m^{d(\eta)}$  that make the following diagram commute.

$$\begin{array}{ccccc}
 & \xleftarrow{\xi_{r(\alpha')}} & & \xleftarrow{\alpha'} & & \xleftarrow{\mu} & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 p_{1,n}(\eta) & & & & & & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & \xleftarrow{\xi_{r(\lambda)}} & & \xleftarrow{\alpha} & & \xleftarrow{\mu'} & \\
 & & & & & & 
 \end{array}$$



Equation (3.5) gives  $c'(\alpha, \eta) = 0$  and  $c'(\alpha, \eta\mu) = 0$ . By the cocycle identity  $c'(\alpha\eta, \mu) + c'(\alpha, \eta) = c'(\alpha, \eta\mu) + c'(\eta, \mu)$ . So we need only check that  $c'(\eta, \mu) = 0$ . We consider the cocycle identity

$$c(p_{1,m}(\gamma), \xi_{r(\mu)}\mu) + c(\xi_{r(\mu)}, \mu) = c(p_{1,m}(\gamma)\xi_{r(\mu)}, \mu) + c(p_{1,m}(\gamma), \xi_{r(\mu)}).$$

Since  $\xi_{s(\gamma)} = \xi_{r(\mu)}\mu$ , we have  $p_{1,n}(\eta) = p_{1,m}(\gamma)$ . Since  $\xi_{s(\eta)} = \xi_{r(\mu)}$  and  $p_{1,m}(\gamma)\xi_{r(\mu)} = \xi_{r(\mu')}\eta$ , we obtain

$$(3.7) \quad c(p_{1,n}(\eta), \xi_{s(\eta)}) - c(\xi_{r(\mu)}, \mu) - c(p_{1,m}(\gamma), \xi_{s(\gamma)}) = -c(\xi_{r(\mu')}\eta, \mu).$$

Hence

$$\begin{aligned} c'(\eta, \mu) &= c(\eta, \mu) - b(\eta) - b(\mu) + b(\eta\mu) \\ &= c(\eta, \mu) - b(\eta) - b(\mu) + b(\mu'\gamma) \\ &= c(\eta, \mu) - \left( c(\xi_{r(\eta)}, \eta) - c(p_{1,n}(\eta), \xi_{s(\eta)}) \right) \\ &\quad - \left( c(\xi_{r(\mu)}, \mu) - 0 \right) + \left( c(\xi_{r(\mu')}, \mu'\gamma) - c(p_{1,m}(\gamma), \xi_{s(\gamma)}) \right) \\ &= c(\eta, \mu) - c(\xi_{r(\eta)}, \eta) + c(\xi_{r(\mu')}, \mu'\gamma) - c(\xi_{r(\mu')}\eta, \mu) \quad \text{by (3.7)} \\ &= c(\eta, \mu) - c(\xi_{r(\mu')}, \eta) + c(\xi_{r(\mu')}, \eta\mu) - c(\xi_{r(\mu')}\eta, \mu) = 0, \end{aligned}$$

establishing (3.6).  $\square$

**Theorem 3.6.** *Let  $\Lambda = \lim_n(\Lambda_n, p_n)$  be the  $(k+1)$ -graph associated to a covering sequence of  $k$ -graphs. The restriction map  $c \mapsto c|_{\Lambda_1}$  from  $Z^2(\Lambda, A)$  to  $Z^2(\Lambda_1, A)$  induces an isomorphism  $H^2(\Lambda, A) \cong H^2(\Lambda_1, A)$ .*

*Proof.* Surjectivity follows from Lemma 3.4. To verify injectivity fix 2-cocycles  $c_1, c_2$  on  $\Lambda$  such that  $c_1|_{\Lambda_1} - c_2|_{\Lambda_1} = \delta^1 b$  for some  $b \in C^1(\Lambda_1, A)$ . Lemma 3.5 gives  $b_1, b_2 \in C^1(\Lambda, A)$  such that

$$c_1 - \delta^1 b_1 = \pi_*(c_1|_{\Lambda_1}), \quad \text{and} \quad c_2 - \delta^1 b_2 = \pi_*(c_2|_{\Lambda_1}).$$

Hence

$$\begin{aligned} c_1 - c_2 &= \pi_*(c_1|_{\Lambda_1}) + \delta^1 b_1 - \pi_*(c_2|_{\Lambda_1}) - \delta^1 b_2 \\ &= \delta^1 b_1 - \delta^1 b_2 + \pi_*(c_1|_{\Lambda_1} - c_2|_{\Lambda_1}) \\ &= \delta^1 b_1 - \delta^1 b_2 + \pi_*(\delta^1 b). \end{aligned}$$

We have  $\pi_*(b) \in C^1(\Lambda, A)$  and  $\pi_*(\delta^1 b) = \delta^1(\pi_* b)$ , so we deduce that  $c_1$  and  $c_2$  are cohomologous.  $\square$

*Remark 3.7.* Since twisted  $C^*$ -algebras do not “see” a perturbation of a 2-cocycle by a coboundary [21, Proposition 5.6], Theorem 3.6 says that a twisted  $k$ -graph  $C^*$ -algebra  $C^*(\Lambda, c)$  associated to a cocycle  $c$  on the  $(k+1)$ -graph associated to covering sequence  $(\Lambda_n, p_n)$  is determined by the covering sequence and  $c|_{\Lambda_1}$ . One might expect that a similar statement applies to traces; we address this in the next section.

## 4. BRATTELI DIAGRAMS OF COVERING MAPS

We now consider a more complicated situation than in the preceding two sections: Rather than a single covering system, we consider Bratteli diagrams of covering maps between  $k$ -graphs. Roughly speaking this consists of a Bratteli diagram to which we associate a  $k$ -graph at each vertex and a covering map at each edge. In particular, each infinite path in the diagram corresponds to a covering sequence of  $k$ -graphs to which we can apply the results of the preceding two sections. We show how to construct a  $(k+1)$ -graph  $\Lambda$  from a Bratteli diagram of covering maps, and how to view a full corner of a twisted  $C^*$ -algebra  $C^*(\Lambda, c)$  as a direct limit of direct sums of matrix algebras over the algebras  $C^*(\Lambda_v, c|_{\Lambda_v})$ .

We take the convention that a Bratteli diagram is a 1-graph  $E$  with a partition of  $E^0$  into finite subsets  $E^0 = \bigsqcup_{n=1}^{\infty} E_n^0$  such that

$$E^1 = \bigsqcup_{n=1}^{\infty} E_n^0 E^1 E_{n+1}^0.$$

We let  $E^*$  denote the set of finite paths in  $E$ . Following [6] we insist that

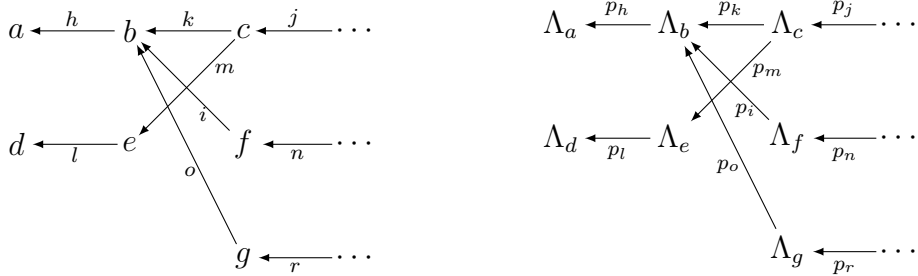
$$vE^1 \neq \emptyset \text{ for all } v, \quad \text{and} \quad E^1 v \neq \emptyset \text{ for all } v \in E^0 \setminus E_1^0.$$

This implies that each  $E_n^0$  is non-empty. In the usual convention for drawing directed graphs the vertex set  $E^0$  has levels  $E_n^0$  arranged horizontally, and edges point from right to left: each edge in  $E$  points from some level  $E_{n+1}^0$  to the level  $E_n^0$  immediately to its left.

We say that a Bratteli diagram  $E$  is *singly connected* if  $|vE^1 w| \leq 1$  for all  $v, w \in E^0$ .

**Definition 4.1.** A *Bratteli diagram of covering maps between  $k$ -graphs* consists of a singly connected Bratteli diagram  $E$ , together with a collection  $(\Lambda_v)_{v \in E^0}$  of  $k$ -graphs and a collection  $(p_e)_{e \in E^1}$  of covering maps  $p_e: \Lambda_{s(e)} \rightarrow \Lambda_{r(e)}$ .

A Bratteli diagram of covering maps is sketched below.



Given a Bratteli diagram of covering maps between  $k$ -graphs there exist a unique  $(k+1)$ -graph, denoted  $\Lambda_E$  (or simply  $\Lambda$ ), together with injective functors  $\iota_v: \Lambda_v \rightarrow \Lambda$ ,  $v \in E^0$ , and a bijective map  $e: \bigsqcup_{v \in E^0 \setminus E_1^0} \Lambda_v^0 \times E^1 v \rightarrow \Lambda^{e_{k+1}}$ , such that

- (1)  $d(\iota_v(\lambda)) = (d(\lambda), 0)$  for  $\lambda \in \Lambda_v$ ,
- (2)  $\iota_v(\Lambda_v) \cap \iota_w(\Lambda_w) = \emptyset$  for  $v \neq w \in E^0$ ,
- (3)  $\bigsqcup_{v \in E^0} \iota_v(\Lambda_v) = \{\lambda \in \Lambda : d(\lambda)_{k+1} = 0\}$ ,
- (4)  $s(e(w, f)) = \iota_v(w)$  and  $r(e(w, f)) = \iota_{r(f)}(p_f(w))$  for  $v \in E^0 \setminus E_1^0$  and  $(w, f) \in \Lambda_v^0 \times E^1 v$ , and
- (5)  $e(r(\lambda), f)\iota_v(\lambda) = \iota_{r(f)}(p_f(\lambda))e(s(\lambda), f)$  for  $v \in E^0 \setminus E_1^0$  and  $(\lambda, f) \in \Lambda_v^0 \times E^1 v$ .

The construction of the  $(k+1)$ -graph  $\Lambda$  is taken from [19], with the exception that we describe the underlying data as a Bratteli diagram rather than a sequence of  $\{0, 1\}$ -valued matrices. We will view each  $\Lambda_v$  as a subset of  $\Lambda$ .

**Definition 4.2** (c.f. Definition 3.1). Let  $\Lambda = \Lambda_E$  be the  $(k+1)$ -graph associated to a Bratteli diagram of covering maps between  $k$ -graphs, and let  $c_v \in Z^2(\Lambda_v, A)$  for each  $v \in E^0$ . The collection of 2-cocycles  $(c_v)$  is called *compatible* if there exists a 2-cocycle  $c \in Z^2(\Lambda, A)$  such that  $c|_{\Lambda_v} = c_v$  for all  $v \in E^0$ .

Definition 4.2 shows how to build a twisted  $(k+1)$ -graph  $C^*$ -algebra from a Bratteli diagram of covering maps. We will exhibit this  $C^*$ -algebra as an inductive limit. This involves considering homomorphisms between twisted  $k$ -graph  $C^*$ -algebras associated to subgraphs of the ambient  $(k+1)$ -graph  $\Lambda_E$  associated to the Bratteli diagram of covering maps. In keeping with this, we use the same symbol  $s$  to denote the generating twisted Cuntz-Krieger families  $s: \lambda \mapsto s_\lambda$  of the  $C^*$ -algebras of the different subgraphs. It will be clear from context which  $k$ -graph we are working in at any given time.

**Lemma 4.3.** *Let  $\Lambda = \Lambda_E$  be the  $(k+1)$ -graph associated to a Bratteli diagram of covering maps between row finite locally convex  $k$ -graphs, together with a compatible collection  $(c_v)$  of 2-cocycles. For each  $e \in E^1$  there exists an embedding  $\iota_e: C^*(\Lambda_{r(e)}, c_{r(e)}) \rightarrow C^*(\Lambda_{s(e)}, c_{s(e)})$  such that*

$$\iota_e(s_\lambda) = \sum_{p_e(\mu)=\lambda} s_\mu \quad \text{for all } \lambda \in \Lambda_{r(e)}.$$

*Proof.* We follow the argument of [19, Remark 3.5.(2)] (which applies in the situation where  $c_{r(e)} = c_{s(e)} \equiv 1$ ). The argument goes through mutatis mutandis when the cocycles are nontrivial.  $\square$

With slight abuse of notation, in the situation of Lemma 4.3, given  $n \geq 1$ , we also write  $\iota_e$  for the induced map  $\iota_e^{(n)}: M_n(C^*(\Lambda_{r(e)}, c_{r(e)})) \rightarrow M_n(C^*(\Lambda_{s(e)}, c_{s(e)}))$  given by

$$\begin{pmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \cdots & a_{nn} \end{pmatrix} \mapsto \begin{pmatrix} \iota_e(a_{11}) & \cdots & \iota_e(a_{1n}) \\ \vdots & \ddots & \vdots \\ \iota_e(a_{n1}) & \cdots & \iota_e(a_{nn}) \end{pmatrix}.$$

**Theorem 4.4.** *Let  $\Lambda = \Lambda_E$  be the  $(k+1)$ -graph associated to a Bratteli diagram of covering maps between row finite locally convex  $k$ -graphs, together with a compatible collection  $(c_v)$  of 2-cocycles. Let  $c \in Z^2(\Lambda, \mathbb{T})$  be a 2-cocycle such that  $c|_{\Lambda_v} = c_v$ . The projection  $P_0 := \sum_{v \in E_1^0, w \in \Lambda_0^0} s_w$  is full in  $C^*(\Lambda, c)$ . For  $n \in \mathbb{N}$ , let  $A_n := \overline{\text{span}}\{s_\mu s_\nu^* : \mu, \nu \in \Lambda, d(\mu)_{k+1} = d(\nu)_{k+1} = n\}$ . Then each  $A_n \subseteq A_{n+1}$ , and*

$$P_0 C^*(\Lambda, c) P_0 = \overline{\bigcup_n A_n}.$$

For each  $\alpha = \alpha_1 \dots \alpha_n \in E_1^0 E^* E_n^0$ , let  $F(\alpha) := \{\mu = \mu_1 \dots \mu_n \in \Lambda^{ne_{k+1}} : r(\mu_i) \in \Lambda_{r(\alpha_i)}^0 \text{ for } i \leq n, \text{ and } s(\mu_n) \in \Lambda_{s(\alpha_n)}^0\}$ . Then each  $T_\alpha := \sum_{\mu \in F(\alpha)} s_\mu$  is a partial isometry, and there is an isomorphism  $\omega_n: \bigoplus_{v \in E_n^0} M_{E_1^0 E^* v}(C^*(\Lambda_v, c_v)) \rightarrow A_n$  such that

$$\omega_n \left( \left( (a_{\alpha, \beta})_{\alpha, \beta \in E_1^0 E^* v} \right)_v \right) = \sum_v \sum_{\alpha, \beta \in E_1^0 E^* v} T_\alpha a_{\alpha, \beta} T_\beta^*.$$

We have  $\omega_{n+1} \circ (\text{diag}_{e \in E^{1w}} \iota_e) = \omega_n$ , and so

$$P_0 C^*(\Lambda, c) P_0 \cong \varinjlim \left( \bigoplus_{v \in E_n^0} M_{E_1^0 E^* v}(C^*(\Lambda_v, c_v)), \sum_{v \in E_n^0} a_v \mapsto \sum_{w \in E_{n+1}^0} \text{diag}_{e \in E^{1w}}(\iota_e(a_r(e))) \right).$$

*Proof.* We follow the proof of [19, Theorem 3.8], using Lemma 4.3 in place of [19, Remark 3.5.2].  $\square$

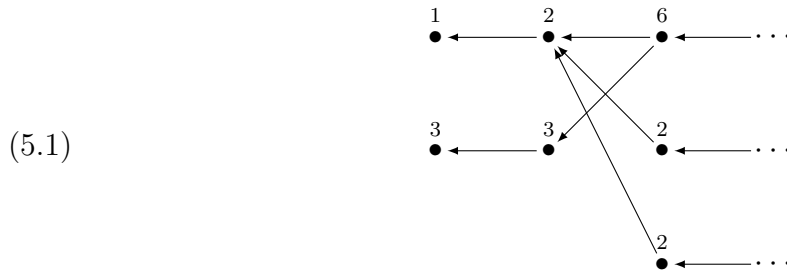
## 5. RANK-3 BRATTELI DIAGRAMS AND THEIR $C^*$ -ALGEBRAS

We are now ready to prove our main results. We consider a special class of 3-graphs, which we call “rank-3 Bratteli diagrams” (see Definition 5.2). We will compute the  $K$ -theory of the twisted  $C^*$ -algebras of these 3-graphs associated to twists by irrational angles using the inductive-limit decomposition just described and the well-known formula for the ordered  $K$ -theory of the irrational rotation algebras. We will deduce from Elliott’s theorem that these  $C^*$ -algebras are classified by  $K$ -theory whenever they are simple, and deduce that such  $C^*$ -algebras, when simple, can all be realised by rank-2 Bratteli diagrams as in [24].

Recall that a Bratteli diagram is singly connected if  $|vE^1w| \leq 1$  for all  $v, w \in E^0$ . Our rank-3 Bratteli diagrams will be constructed from singly connected Bratteli diagrams of coverings of 2-graphs where the individual 2-graphs are rank-2 simple cycles. The lengths of the cycles are encoded by an additional piece of information: a weight map on the underlying Bratteli diagram.

**Definition 5.1.** Let  $E$  be a Bratteli diagram. A *weight map* on  $E$  is a function  $w: E^0 \rightarrow \mathbb{N} \setminus \{0\}$  such that  $w(r(e))$  divides  $w(s(e))$  for all  $e \in E^1$ . A *weighted Bratteli diagram* is a Bratteli diagram  $E$  together with a weight map.

An example of the first few levels of a singly connected weighted Bratteli diagram is sketched below (the weight map  $w$  is identified by labelling the vertices):



To construct our rank-3 Bratteli diagrams, we need to recall the skew-product construction for  $k$ -graphs. Following [17], fix a  $k$ -graph  $\Lambda$  and a functor  $\eta: \Lambda \rightarrow G$  into a countable group  $G$ . The *skew product graph*, denoted  $\Lambda \times_\eta G$ , is the  $k$ -graph with morphisms  $\Lambda \times G$ , source, range and degree maps given by

$$r(\lambda, g) = (r(\lambda), g), \quad s(\lambda, g) = (s(\lambda), g\eta(\lambda)), \quad d(\lambda, g) = d(\lambda),$$

and composition given by  $(\lambda, g)(\mu, h) = (\lambda\mu, g)$  whenever  $s(\lambda, g) = r(\mu, h)$ .

**Definition 5.2.** Let  $E$  be a singly connected weighted Bratteli diagram. For  $v \in E^0$ , let  $a_v, b_v$  be the blue and red (respectively) edges in a copy  $T_2^v$  of  $T_2$ . For each  $v$ , let  $1: T_2^v \rightarrow \mathbb{Z}/w(v)\mathbb{Z}$  be the functor such that  $1(a_v) = 1(b_v) = 1$ , the generator of  $\mathbb{Z}/w(v)\mathbb{Z}$ .

The *rank-3 Bratteli diagram*  $\Lambda_E$  (or simply  $\Lambda$ ) associated to  $E$  is the unique 3-graph arising from the Bratteli diagram of covering maps given by

$$\Lambda_v = T_2^v \times_1 \mathbb{Z}/w(v)\mathbb{Z}, \quad v \in E^0,$$

$$p_f(a_{s(f)}^s b_{s(f)}^t, m) = (a_{r(f)}^s b_{r(f)}^t, m \bmod w(r(f))), \quad s, t \in \mathbb{N}, f \in E^1, m \in \mathbb{Z}/w(s(f))\mathbb{Z}.$$

To keep notation compact we write  $\{(v, m) : m = 0, \dots, w(v) - 1\}$  for the vertices of  $\Lambda_v$ .

Figure 1 illustrates the portion of the skeleton of a rank-3 Bratteli diagram corresponding to the portion of a weighted Bratteli in (5.1).

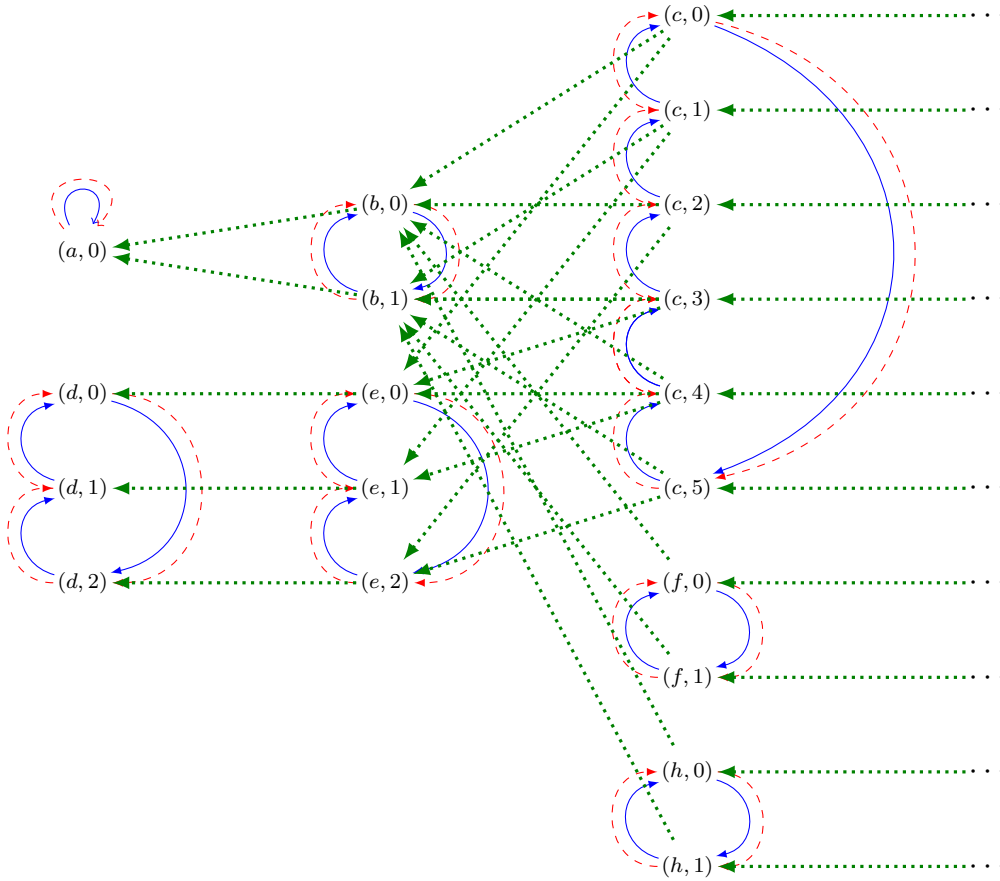


FIGURE 1.

*Remark 5.3.* Our definition of rank-3 Bratteli diagram relates to the rank-2 Bratteli diagrams introduced by Pask, Raeburn, Rørdam and Sims. Both constructions are based on Bratteli diagrams as initial data, with the difference that here we construct 3-graphs rather than 2-graphs. See [24] for the details.

Given a  $\mathbb{T}$ -valued 2-cocycle  $c$  on  $\mathbb{Z}^k$  and a  $k$ -graph  $\Lambda$ , we obtain a 2-cocycle  $d_*c$  on  $\Lambda$  by  $(d_*c)(\mu, \nu) = c(d(\mu), d(\nu))$ . An example of a  $\mathbb{T}$ -valued 2-cocycle on  $\mathbb{Z}^k$  is the map

$$(5.2) \quad c_\theta^k(m, n) = e^{2\pi\theta m_2 n_1}.$$

The 2-cocycle (5.2) on  $\mathbb{Z}^2$  will be denoted  $c_\theta$ . We let  $A_\theta$  denote the *rotation  $C^*$ -algebra* corresponding to the angle  $\theta \in \mathbb{R}$  (see [20, Example 7.7]).

Our main theorem describes the ordered  $K$ -theory of the twisted  $C^*$ -algebras of rank-3 Bratteli diagrams corresponding to irrational  $\theta$ . We state the result now, but the proof will require some more preliminary work.

To state the theorem, we take the convention that given a direct sum  $G = \bigoplus_i G_i$  of groups and  $g \in G$ , we write  $g\delta_i$  for the image of  $g$  in the  $i^{\text{th}}$  direct summand of  $G$ .

**Theorem 5.4.** *Let  $\Lambda = \Lambda_E$  be the rank-3 Bratteli diagram associated to a singly connected weighted Bratteli diagram  $E$ , and take  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $c_\theta^3 \in Z^2(\mathbb{Z}^3, \mathbb{T})$  be as in (5.2), and let  $c = d_* c_\theta^3 \in Z^2(\Lambda, \mathbb{T})$ . For  $n \in \mathbb{N}$ , define  $A_n : \bigoplus_{v \in E_n^0} (\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z}) \rightarrow \bigoplus_{u \in E_{n+1}^0} (\frac{1}{w(u)}\mathbb{Z} + \theta\mathbb{Z})$  and  $B_n : \bigoplus_{v \in E_n^0} \mathbb{Z}^2 \rightarrow \bigoplus_{u \in E_{n+1}^0} \mathbb{Z}^2$  by*

$$A_n \left( \frac{1}{w(v)}p + \theta q \right) \delta_v = \sum_{e \in vE^1} \left( \frac{1}{w(v)}p + \theta q \right) \delta_{s(e)} \quad \text{and}$$

$$B_n(p, q) \delta_v = \sum_{e \in vE^1} \left( p + \left( 1 - \frac{w(s(e))}{w(v)} \right) q, \frac{w(s(e))}{w(v)} q \right) \delta_{s(e)}.$$

Endow  $\varinjlim \left( \bigoplus_{v \in E_n^0} (\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z}), A_n \right)$  with the positive cone and order inherited from the approximating subgroups. Then there are an order isomorphism

$$h_0 : K_0(C^*(\Lambda, c)) \rightarrow \varinjlim \left( \bigoplus_{v \in E_n^0} \left( \frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z} \right), A_n \right)$$

and an isomorphism

$$h_1 : K_1(C^*(\Lambda, c)) \rightarrow \varinjlim \left( \bigoplus_{v \in E_n^0} \mathbb{Z}^2, B_n \right)$$

with the following properties: Let  $v \in E_n^0$ , let  $i < w(v)$ , let  $\mu_i$  be the unique element of  $(v, i)\Lambda_v^{(w(v), 0)}$  and let  $\nu_i$  be the unique element of  $(v, i)\Lambda_v^{(w(v)-1, 1)}$ . Then

$$h_0([s_{(v,i)}]) = \frac{1}{w(v)}\delta_v \in \bigoplus_{u \in E_n^0} (\frac{1}{w(u)}\mathbb{Z} + \theta\mathbb{Z});$$

$$h_1([s_{\mu_i} + \sum_{j \neq i} s_{(v,j)}]) = (1, 0)\delta_v \in \bigoplus_{u \in E_n^0} \mathbb{Z}^2; \quad \text{and}$$

$$h_1([s_{\nu_i} + \sum_{j \neq i} s_{(v,j)}]) = (0, 1)\delta_v \in \bigoplus_{u \in E_n^0} \mathbb{Z}^2.$$

There is an isomorphism  $\theta : K_1(C^*(\Lambda, c)) \rightarrow K_0(C^*(\Lambda), c)$  such that  $h_0 \circ \theta \circ h_1^{-1}((a, b)\delta_v) = \frac{b}{w(v)} + (a + b)\theta$  for all  $v \in E^0$  and  $(a, b) \in \mathbb{Z}^2$ .

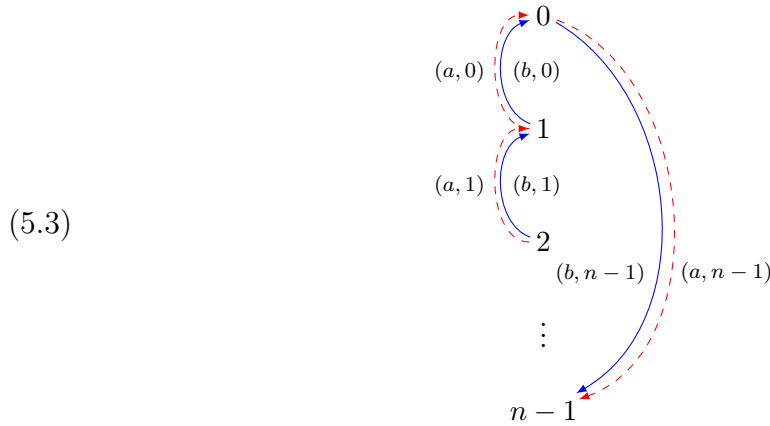
*Remark 5.5.* If we regard the maps  $A_n : \bigoplus_{v \in E_n^0} (\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z}) \rightarrow \bigoplus_{u \in E_{n+1}^0} (\frac{1}{w(u)}\mathbb{Z} + \theta\mathbb{Z})$  as  $E_n^0 \times E_{n+1}^0$  matrices of homomorphisms  $A_n(v, u) : \frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z} \rightarrow \frac{1}{w(u)}\mathbb{Z} + \theta\mathbb{Z}$ , then each  $A_n(v, w)$  is the inclusion map  $\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z} \subseteq \frac{1}{w(u)}\mathbb{Z} + \theta\mathbb{Z}$ . Likewise, if we think of each  $B_n$  as an  $E_n^0 \times E_{n+1}^0$  matrix of homomorphisms  $B_n(v, u) : \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$ , then writing  $l(v, u) := w(u)/w(v)$ , each  $B_n(v, u)$  is implemented by multiplication by the matrix  $\begin{pmatrix} 1 & 1-l(v,u) \\ 0 & l(v,u) \end{pmatrix}$ .

*Remark 5.6.* In the preceding theorem, given  $v \in E_n^0$ , it is not so easy to specify explicitly the projection in  $C^*(\Lambda, c)$  which maps to  $\theta\delta_v \in \bigoplus_{u \in E_n^0} (\frac{1}{w(u)}\mathbb{Z} + \theta\mathbb{Z})$ . However, the description of the connecting maps in  $K_0$  in Lemma 5.10 yields the following description: Lemma 5.8 describes an isomorphism  $\phi : A_{w(v)\theta} \otimes M_{w(v)}(\mathbb{C}) \cong C^*(\Lambda_v, c)$ , and if  $p_\theta$  denotes the Rieffel projection in  $A_{w(v)\theta}$ , then  $h_0$  carries the  $K_0$ -class of  $\phi(p_\theta \oplus 0_{w(v)-1})$  to  $\theta\delta_v$ .

*Remark 5.7.* Theorem 5.4 applies only when  $c = d_*c_\theta^3 \in Z^2(\Lambda, \mathbb{T})$  for some  $\theta$ . But Theorem 3.6 suggests that this is a fairly mild hypothesis. To make this precise, first suppose that  $v, w \in E_1^0$  have the property that there exist  $\alpha \in vE^*$  and  $\beta \in wE^*$  with  $s(\alpha) = s(\beta)$ . By choosing any infinite path  $x$  in  $E$  with range  $s(\alpha)$  we can pick out covering systems corresponding to  $\alpha x$  and to  $\beta x$ , and then Theorem 3.6 implies that  $c_v$  and  $c_w$  are cohomologous. Now consider any connected component  $C$  of  $E$ . An induction using what we have just showed proves that the  $c_v$  corresponding to vertices  $v$  in  $C$  are all cohomologous. So, decomposing,  $E$  into connected components, we see that  $C^*(\Lambda_E, c)$  is a direct sum of subalgebras  $C^*(\Lambda_C, c)$  in which  $v \mapsto c_v$  is constant up to cohomology.

Each  $\Lambda_v$  is the quotient of the 2-graph  $\Delta_2$  (see [20, Examples 2.2(5)]) by the canonical action of  $\{(m, n) : m+n \in w(v)\mathbb{Z}\} \leq \mathbb{Z}^2$ , and so [20, Theorem 4.9] shows that  $H_2(\Lambda_v, \mathbb{T}) \cong \mathbb{T}$ ; in particular  $\{[d_*c_\theta] : \theta \in [0, 2\pi)\}$  is all of  $H_2(\Lambda_v, \mathbb{T})$ . So for each connected component  $C$  of  $E$ , there is some  $\theta$  such that  $c_v \sim d_*c_\theta$  for each vertex  $v$  in  $C$ .

The first step to proving Theorem 5.4 is to describe the building blocks in the direct-limit decomposition of Theorem 4.4 for a rank-3 Bratteli diagram. Recall that if  $E$  is a singly connected weighted Bratteli diagram and  $n = w(v)$ , then  $\Lambda_v = T_2^v \times_1 \mathbb{Z}/n\mathbb{Z}$  as illustrated below:



When  $n = 1$  the  $C^*$ -algebra  $C^*(\Lambda_v, d_*c_\theta^3)$  is isomorphic to the irrational rotation algebra  $A_\theta$  (see [20]). We prove that, in general,  $C^*(\Lambda_v, d_*c_\theta^3)$  is isomorphic to  $M_n(A_{n\theta})$ .

**Lemma 5.8.** *Let  $\Lambda$  be the 2-graph  $T_2 \times_1 \mathbb{Z}/n\mathbb{Z}$  of (5.3). Let  $c = d_*c_\theta$  for  $\theta \in \mathbb{R}$ . Let  $u, v$  denote the generators for  $A_{n\theta}$ , and  $(\zeta_{i,j})$  the standard matrix units for  $M_n(\mathbb{C})$ . Let  $\mu_i$  (resp.  $\nu_i$ ) denote the unique element in  $\Lambda$  of degree  $(n, 0)$  (resp.  $(n-1, 1)$ ) with source and range  $(v, i)$ , and let  $\alpha_0, \dots, \alpha_{n-1}$  be any elements in  $\mathbb{T}$  such that  $e^{2\pi i\theta} \alpha_{i-1} = \alpha_i$ . Then there is an isomorphism*

$$\phi: A_{n\theta} \otimes M_n(\mathbb{C}) \cong C^*(\Lambda, c)$$

such that

$$\phi(u \otimes 1_n) = \sum_{i=0}^{n-1} s_{\mu_i}, \quad \phi(v \otimes 1_n) = \sum_{i=0}^{n-1} \alpha_i s_{\nu_i}, \quad \text{and} \quad \phi(1 \otimes \zeta_{j,j+1}) = s_{(a,j-1)}.$$

*Proof.* Define elements  $U, V$  and  $e_{j,j+1}$ ,  $j < n$  of  $C^*(\Lambda, c)$  by

$$U = \sum_{i=0}^{n-1} s_{\mu_i}, \quad V = \sum_{i=0}^{n-1} \alpha_i s_{\nu_i} \quad \text{and} \quad e_{j,j+1} = s_{(a,j-1)}$$

The set  $\{e_{j,j+1} : j = 1, \dots, n-1\}$  generates a system of matrix units  $(e_{i,j})_{i,j=1,\dots,n}$ . Each  $e_{i,j}$  is non-zero by [29, Theorem 3.15]. Straightforward calculations show that  $U$  and  $V$  are both unitaries, and that these unitaries commute with the  $e_{j,j+1}$  and their adjoints.

We claim that  $VU = e^{2\pi i n \theta} UV$ . Since  $s(\mu_i) = r(\nu_j)$  only for  $i = j$ , we have

$$UV = \left( \sum_{i=0}^{n-1} s_{\mu_i} \right) \left( \sum_{i=0}^{n-1} \alpha_i s_{\nu_i} \right) = \sum_{i=0}^{n-1} \alpha_i c(\mu_i, \nu_i) s_{\mu_i \nu_i} \quad \text{and similarly}$$

$$VU = \left( \sum_{i=0}^{n-1} \alpha_i s_{\nu_i} \right) \left( \sum_{i=0}^{n-1} s_{\mu_i} \right) = \sum_{i=0}^{n-1} \alpha_i c(\nu_i, \mu_i) s_{\nu_i \mu_i}.$$

Since  $c(\mu_i, \nu_i) = c_\theta((n, 0), (n-1, 1)) = 1$  and  $c(\nu_i, \mu_i) = c_\theta((n-1, 1), (n, 0)) = e^{2\pi i n \theta}$ , we obtain  $VU = e^{2\pi i n \theta} UV$  as claimed.

By definition the elements  $U, V, e_{i,j}$  (and  $\{s_\lambda : \lambda \in \Lambda\}$ ) belong to the algebra generated by the elements  $\{s_{(a,0)}, \dots, s_{(b,n-1)}\}$ . Conversely, for  $j < n$  we have

(5.4)

$$s_{(a,j)} = \begin{cases} Ue_{n,1} & \text{if } j = n-1 \\ e_{j+1,j+2}, & \text{otherwise,} \end{cases} \quad \text{and} \quad s_{(b,j)} = \begin{cases} Ve_{n,1} & \text{if } j = n-1 \\ e^{-2\pi i \theta} U^* V e_{j+1,j+2} & \text{otherwise.} \end{cases}$$

Hence  $C^*(\Lambda_v)$  is generated by  $U, V$  and the  $e_{i,j}$ .

The universal property of  $A_{n\theta} \otimes M_n(\mathbb{C})$  gives a surjective homomorphism  $\phi: A_{n\theta} \otimes M_n(\mathbb{C}) \rightarrow C^*(\Lambda, c)$  such that

$$\phi(u \otimes 1_n) = U, \quad \phi(v \otimes 1_n) = V, \quad \text{and} \quad \phi(1 \otimes \zeta_{i,j}) = e_{i,j}.$$

The formulas (5.4) describe a Cuntz-Krieger  $\phi$ -representation in the sense of [20, Definition 7.4], so the universal property of  $C_\phi^*(\Lambda)$  gives an inverse for  $\phi$ , and so  $\phi$  is an isomorphism<sup>1</sup>. Hence  $A_{n\theta} \otimes M_n(\mathbb{C}) \cong C_\phi^*(\Lambda) \cong C^*(\Lambda, c)$  by [21, Corollary 5.7].  $\square$

**Lemma 5.9.** *Let  $\Lambda = \Lambda_E$  be the rank-3 Bratteli diagram associated to a singly connected weighted Bratteli diagram  $E$  together with a compatible collection  $(c_v)$  of 2-cocycles. Take  $e \in E^1$ , and suppose that  $c_{r(e)} = d_* c_\theta$  and  $c_{s(e)} = d_* c_\theta$  where  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $n = w(r(e))$ ,  $m = w(s(e))$  and  $l = m/n$ . Let  $\iota_e: C^*(\Lambda_{r(e)}, c_{r(e)}) \rightarrow C^*(\Lambda_{s(e)}, c_{s(e)})$  be as in Lemma 4.3, and let  $\phi_r: A_{n\theta} \otimes M_n(\mathbb{C}) \rightarrow C^*(\Lambda_{r(e)}, c_{r(e)})$  and  $\phi_s: A_{m\theta} \otimes M_m(\mathbb{C}) \rightarrow C^*(\Lambda_{s(e)}, c_{s(e)})$  be the isomorphisms obtained from Lemma 5.8. Let  $u_r$  and  $v_r$  be the generators of  $A_{n\theta}$ , and let  $\rho_r: K_1(A_{n\theta} \otimes M_n(\mathbb{C})) \rightarrow \mathbb{Z}^2$  be the isomorphism such that  $\rho_r([u_r \oplus 1_{n-1}]) = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\rho_r([v_r \oplus 1_{n-1}]) = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ ; define  $u_s, v_s$  and  $\rho_s: K_1(A_{m\theta} \otimes M_m(\mathbb{C})) \rightarrow \mathbb{Z}^2$  similarly. Then the diagram*

$$(5.5) \quad \begin{array}{ccc} K_1(C^*(\Lambda_{r(e)}, c_{r(e)})) & \xrightarrow{K_1(\iota_e)} & K_1(C^*(\Lambda_{s(e)}, c_{s(e)})) \\ \uparrow K_1(\phi_r) & & \uparrow K_1(\phi_s) \\ K_1(A_{n\theta} \otimes M_n(\mathbb{C})) & & K_1(A_{m\theta} \otimes M_m(\mathbb{C})) \\ \downarrow \rho_r & & \downarrow \rho_s \\ \mathbb{Z}^2 & \xrightarrow{\begin{pmatrix} 1 & 1-l \\ 0 & l \end{pmatrix}} & \mathbb{Z}^2 \end{array}$$

*commutes.*

<sup>1</sup>Of course, if  $\theta$  is irrational then  $A_\theta$  and hence  $A_\theta \otimes M_n(\mathbb{C})$  is simple, and so  $\phi$  is automatically injective.



*Proof.* Recall  $n = w(r(e))$ ,  $m = w(s(e))$ , and  $l = m/n$ . Since the maps  $a \mapsto a \oplus 1_{n-1}$  and  $b \mapsto b \oplus 1_{m-1}$  induce isomorphisms in  $K_1$ , the classes  $[u_r \oplus 1_{n-1}]$  and  $[v_r \oplus 1_{n-1}]$  generate  $K_1(A_{n\theta} \otimes M_n(\mathbb{C}))$  and  $[u_s \oplus 1_{m-1}]$  and  $[v_s \oplus 1_{m-1}]$  generate  $K_1(A_{m\theta} \otimes M_m(\mathbb{C}))$ .

We claim that  $K_1(\iota_e)$  maps  $[\phi_r(u_r \oplus 1_{n-1})]$  to  $[\phi_s(u_s \oplus 1_{m-1})]$ . To see this, for  $i < n$ , let  $\mu_i^r$  denote the unique element of  $(r(e), i)\Lambda_{r(e)}^{(n,0)}$ , and let

$$U_r := \sum_{i=0}^{n-1} s_{\mu_i^r} \in C^*(\Lambda_{r(e)}, c_{r(e)}).$$

By Lemma 5.8,  $U_r = \phi_r(u_r \otimes 1_n)$ , and so

$$U_r = \phi_r(u_r \otimes 1) = \prod_{i=0}^{n-1} \phi_r(1_i \oplus u_r \oplus 1_{n-i-1}).$$

Hence  $[U_r] = \sum_{i=0}^{n-1} [\phi_r(1_i \oplus u_r \oplus 1_{n-i-1})] = n[\phi_r(u_r \oplus 1_{n-1})]$ . An identical argument shows that if  $\mu_i^s$  denotes the unique element of  $(s(e), i)\Lambda_{s(e)}^{(m,0)}$  for  $i < m$ , then  $U_s := \sum_{i=0}^{m-1} s_{\mu_i^s}$  satisfies  $[U_s] = m[\phi_s(u_s \oplus 1_{m-1})]$ . Direct computation using that  $ln = m$  shows that  $\iota_e$  maps  $(\sum_{i=0}^{n-1} s_{\mu_i^r})^l$  to  $\sum_{i=0}^{m-1} s_{\mu_i^s}$ . We have

$$m[\phi_r(u_r \oplus 1_{n-1})] = ln[\phi_r(u_r \oplus 1_{n-1})] = l[U_r] = \left[ \left( \sum_{i=0}^{n-1} s_{\mu_i^r} \right)^l \right].$$

Hence

$$K_1(\iota_e)(m[\phi_r(u_r \oplus 1_{n-1})]) = \left[ \sum_{i=0}^{m-1} s_{\mu_i^s} \right] = [U_s] = m[\phi_s(u_s \oplus 1_{m-1})].$$

Since  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ , we have  $K_1(A_{n\theta} \otimes M_n(\mathbb{C})) = \langle [u_r \oplus 1_{n-1}], [v_r \oplus 1_{n-1}] \rangle \cong \mathbb{Z}^2$ , and we deduce that  $K_1(\iota_e)$  maps  $[\phi_r(u_r \oplus 1_{n-1})]$  to  $[\phi_s(u_s \oplus 1_{m-1})]$ .

We now show that  $K_1(\iota_e)$  maps  $[\phi_r(v_r \oplus 1_{n-1})]$  to  $l[\phi_s(v_s \oplus 1_{m-1})] - (l-1)[\phi_s(u_s \oplus 1_{m-1})]$ . Let  $\nu_i^r, \nu_j^s$  be the unique elements of  $(r(e), i)\Lambda_{r(e)}^{(n-1,1)}$  and  $(s(e), j)\Lambda_{s(e)}^{(m-1,1)}$  for each  $i, j$ . Let  $W_r = \sum_{i=0}^{n-1} s_{\nu_i^r}$ . Fix  $\alpha_0, \dots, \alpha_{n-1}$  in  $\mathbb{T}$  such that  $e^{2\pi i\theta} \alpha_{i-1} = \alpha_i$ , and set  $V_r = \sum_{i=0}^{n-1} \alpha_i s_{\nu_i^r}$ . Lemma 5.8 gives  $V_r = \phi_r(v_r \otimes 1_n)$ . Since  $V_r = W_r \cdot \sum_{i=0}^{n-1} \alpha_i s_{(r(e), i)}$ , and since  $[1] = [\sum_{i=0}^{n-1} \alpha_i s_{(r(e), i)}]$  it follows that  $n[\phi_r(v_r \oplus 1_{n-1})] = [V_r] = [W_r]$ . Similarly  $m[\phi_s(v_s \oplus 1_{m-1})] = [\sum_{i=0}^{m-1} s_{\nu_i^s}]$ , and

$$\begin{aligned} & n[\phi_r(v_r \oplus 1_{n-1})] + (l-1)n[\phi_r(u_r \oplus 1_{n-1})] \\ &= [W_r] + (l-1)[U_r] = \left[ \left( \sum_{i=0}^{n-1} s_{\nu_i^r} \right) \left( \sum_{i=0}^{n-1} s_{\mu_i^r} \right)^{l-1} \right] = n \left[ \sum_{i=0}^{n-1} s_{\nu_i^r (\mu_i^r)^{l-1}} \right]. \end{aligned}$$

For  $j < n$ , we have  $p_e^{-1}(\nu_i^r (\mu_i^r)^{l-1}) = \{\nu_i^s, \nu_{i+n}^s, \dots, \nu_{i+(l-1)n}^s\}$ . Hence

$$\begin{aligned} & K_1(\iota_e)(n[\phi_r(v_r \oplus 1_{n-1})] + (l-1)n[\phi_r(u_r \oplus 1_{n-1})]) \\ &= n[\iota_e(s_{\nu_i^r (\mu_i^r)^{l-1}})] = \left[ \sum_{i=0}^{m-1} s_{\nu_i^s} \right] = m[\phi_s(v_s \oplus 1_{m-1})]. \end{aligned}$$

Since  $m = nl$ , we deduce that  $K_1(\iota_e)$  sends  $[\phi_r(v_r \oplus 1_{n-1})] + (l-1)[\phi_r(u_r \oplus 1_{n-1})]$  to  $l[\phi_s(v_s \oplus 1_{m-1})]$ . We saw above that  $K_1(\iota_e)((l-1)[\phi_r(u_r \oplus 1_{n-1})]) = (l-1)[\phi_s(u_s \oplus 1_{m-1})]$ ,

so subtracting gives  $K_1(\iota_e)([\phi_r(v_r \oplus 1_{n-1})]) = l[\phi_s(v_s \oplus 1_{m-1})] - (l-1)[\phi_s(u_s \oplus 1_{m-1})]$ . So the diagram (5.5) commutes as claimed.  $\square$

Let  $T(A)$  denote the set of *tracial states*, i.e., positive linear functionals with the trace property and norm one, on a  $C^*$ -algebra  $A$ . For any  $\tau \in T(A)$  there is a map  $K_0(\tau): K_0(A) \rightarrow \mathbb{R}$  such that  $K_0(\tau)([p] - [q]) = \sum_i \tau(p_{ii} - q_{ii})$  for any projections  $p, q \in M_n(A)$ . When  $\tau = \text{Tr}$ , the unique tracial state on  $A_\theta \otimes M_k(\mathbb{C})$  for  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ , the map  $K_0(\tau)$  is an order isomorphism of  $K_0(A_\theta \otimes M_k(\mathbb{C}))$  onto  $\frac{1}{k}\mathbb{Z} + \frac{\theta}{k}\mathbb{Z}$  [28].

**Lemma 5.10.** *Let  $\Lambda = \Lambda_E$  be the rank-3 Bratteli diagram associated to a singly connected weighted Bratteli diagram  $E$  together with a compatible collection  $(c_v)$  of 2-cocycles. Take  $e \in E^1$  and suppose that  $c_{r(e)} = d_*c_\theta$  and  $c_{s(e)} = d_*c_\theta$  where  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $n = w(r(e))$  and  $m = w(s(e))$ . Let  $\iota_e: C^*(\Lambda_{r(e)}, c_{r(e)}) \rightarrow C^*(\Lambda_{s(e)}, c_{s(e)})$  be as in Lemma 4.3, and let  $\phi_r: A_{n\theta} \otimes M_n(\mathbb{C}) \rightarrow C^*(\Lambda_{r(e)}, c_{r(e)})$  and  $\phi_s: A_{m\theta} \otimes M_m(\mathbb{C}) \rightarrow C^*(\Lambda_{s(e)}, c_{s(e)})$  be the isomorphisms obtained from Lemma 5.8. Let  $\tau_r$  and  $\tau_s$  be the unique tracial states on  $A_{n\theta} \otimes M_n(\mathbb{C})$  and  $A_{m\theta} \otimes M_m(\mathbb{C})$ . Then the diagram*

$$(5.6) \quad \begin{array}{ccc} K_0(C^*(\Lambda_{r(e)}, c_{r(e)})) & \xrightarrow{K_0(\iota_e)} & K_0(C^*(\Lambda_{s(e)}, c_{s(e)})) \\ \uparrow K_0(\phi_r) & & \uparrow K_0(\phi_s) \\ K_0(A_{n\theta} \otimes M_n(\mathbb{C})) & & K_0(A_{m\theta} \otimes M_m(\mathbb{C})) \\ \downarrow K_0(\tau_r) & & \downarrow K_0(\tau_s) \\ \frac{1}{n}\mathbb{Z} + \theta\mathbb{Z} & \xrightarrow{\subseteq} & \frac{1}{m}\mathbb{Z} + \theta\mathbb{Z} \end{array}$$

*commutes.*

*Proof.* Define  $l = m/n$ . Let  $p_r$  and  $p_s$  denote the Powers-Rieffel projections in  $A_{n\theta}$  and  $A_{m\theta}$  respectively. Since the maps  $a \mapsto a \oplus 0_{n-1}$  and  $b \mapsto b \oplus 0_{m-1}$  induce an isomorphisms in  $K_0$ , the elements  $[1 \oplus 0_{n-1}]$  and  $[p_r \oplus 0_{n-1}]$  generate  $K_0(A_{n\theta} \otimes M_n(\mathbb{C}))$ , and  $[1 \oplus 0_{m-1}]$  and  $[p_s \oplus 0_{m-1}]$  generate  $K_0(A_{m\theta} \otimes M_m(\mathbb{C}))$ . Then  $K_0(\iota_e)$  maps  $[\phi_r(1 \oplus 0_{n-1})]$  to  $l[\phi_s(1 \oplus 0_{m-1})]$  because

$$K_0(\iota_e)(n[\phi_r(1 \oplus 0_{n-1})]) = K_0(\iota_e)([1_{C^*(\Lambda_{r(e)})}]) = [1_{C^*(\Lambda_{s(e)})}] = m[\phi_s(1 \oplus 0_{m-1})],$$

and  $m = nl$ .

We show that  $K_0(\iota_e)$  maps  $[\phi_r(p_r \oplus 0_{n-1})]$  to  $[\phi_s(p_s \oplus 0_{m-1})]$ . Let  $\tilde{\tau}_r := \tau_r \circ \phi_r^{-1}$  and  $\tilde{\tau}_s := \tau_s \circ \phi_s^{-1}$  be the unique tracial states on  $C^*(\Lambda_{r(e)}, c_{r(e)})$  and  $C^*(\Lambda_{s(e)}, c_{s(e)})$ . Since  $\iota_e(C^*(\Lambda_{r(e)}, c_{r(e)})) \subseteq C^*(\Lambda_{s(e)}, c_{s(e)})$  uniqueness of  $\tilde{\tau}_r$  implies that  $\tilde{\tau}_s \circ \iota_e = \tilde{\tau}_r$ , and since the unique tracial state  $\text{Tr}$  on  $A_{n\theta}$  satisfies  $\text{Tr}(p_r) = n\theta$ , we have  $\tau_r(p_r \oplus 0_{n-1}) = \theta$ . Hence

$$\tilde{\tau}_s \circ \iota_e(\phi_r(p_r \oplus 0_{n-1})) = \tilde{\tau}_r(\phi_r(p_r \oplus 0_{n-1})) = \tau_r(p_r \oplus 0_{n-1}) = \theta = \tilde{\tau}_s(\phi_s(p_s \oplus 0_{m-1})).$$

In particular

$$K_0(\tilde{\tau}_s \circ \iota_e)([\phi_r(p_r \oplus 0_{n-1})]) = K_0(\tilde{\tau}_s)([\phi_s(p_s \oplus 0_{m-1})]).$$

Since  $\theta$  is irrational,  $K_0(\tilde{\tau}_s)$  is an isomorphism, and so we deduce that  $K_0(\iota_e)([\phi_r(p_r \oplus 0_{n-1})]) = [\phi_s(p_s \oplus 0_{m-1})]$ , and that the diagram (5.6) commutes.  $\square$

**Corollary 5.11.** *Let  $\Lambda = \Lambda_E$  be the rank-3 Bratteli diagram associated to a singly connected weighted Bratteli diagram  $E$  together with a compatible collection  $(c_v)$  of 2-cocycles.*

Let  $v \in E^0$  and suppose that  $c_v = d_*c_\theta$  for some  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Then the ordered  $K$ -theory of  $C^*(\Lambda_v, c_v)$  is given by

$$(K_0, K_0^+, K_1) = \left( \frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z}, \left( \frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z} \right) \cap [0, \infty), \mathbb{Z}^2 \right).$$

*Proof.* The irrational rotation algebra  $A_\theta$  is a stably finite unital exact  $C^*$ -algebra with a simple, weakly unperforated  $K_0$ -group [28, 5] (see also [2, p. 36]). Hence [2, p. 42] gives

$$K_0(C^*(\Lambda_v, c_v))^+ = \{0\} \cup \{x : K_0(\tau)(x) > 0 \text{ for all } \tau \in T(C^*(\Lambda_v, c_v))\}.$$

Since  $C^*(\Lambda_v, c_v) \cong A_\theta \otimes M_k(\mathbb{C})$  admits a unique tracial state  $\tau$ , the map  $K_0(\tau) : K_0(A_\theta \otimes M_k(\mathbb{C})) \rightarrow \mathbb{R}^+$  is an order isomorphism onto its range, and so  $K_0(A_\theta \otimes M_k(\mathbb{C}))^+ = (\frac{1}{k}\mathbb{Z} + \frac{\theta}{k}\mathbb{Z}) \cap [0, \infty)$ . The result follows.  $\square$

*Proof of Theorem 5.4.* Theorem 4.4 shows that

$$\begin{aligned} K_*(C^*(\Lambda, c)) &\cong K_*(P_0 C^*(\Lambda) P_0) \\ &\cong \varinjlim \left( \bigoplus_{v \in E_n^0} K_*(M_{E_1^0 E^* v}(C^*(\Lambda_v, c_v))), \right. \\ &\quad \left. K_* \left( \sum_{v \in E_n^0} a_v \mapsto \sum_{w \in E_{n+1}^0} \text{diag}_{e \in E^1 w} (\iota_e(a_{r(e)})) \right) \right). \end{aligned}$$

Each  $K_*(M_{E_1^0 E^* v}(C^*(\Lambda_v, c_v))) \cong K_*(C^*(\Lambda_v, c_v))$  and these isomorphisms are compatible with the connecting maps. Lemma 5.8 shows that each  $C^*(\Lambda_v, c_v) \cong A_{w(v)\theta} \otimes M_{w(v)}(\mathbb{C})$  and hence has  $K$ -theory  $(\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z}, \mathbb{Z}^2)$ , and Lemmas 5.9 and 5.10 show that the connecting maps are as claimed. The order on  $K_0$  follows from Corollary 5.11.

For the final statement, observe that under the canonical isomorphisms  $\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z} \cong \mathbb{Z}^2$ , the inclusion maps  $A_n(v, u)$  of Remark 5.5 are implemented by the matrices  $\begin{pmatrix} w(u)/w(v) & 0 \\ 0 & 1 \end{pmatrix}$  (see also the proof of Lemma 5.10). The corresponding maps  $B_n(v, u)$  are implemented by the matrices  $\begin{pmatrix} 1 & -w(u)/w(v) \\ 0 & 1 \end{pmatrix}$ . Fix  $e \in E^1$ , let  $v = r(e)$  and  $u = s(e)$  and  $l = w(u)/w(v)$ , and calculate:

$$\begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1-l \\ 0 & l \end{pmatrix} = \begin{pmatrix} 0 & l \\ 1 & 1 \end{pmatrix} = \begin{pmatrix} l & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$$

So the automorphisms  $T_n := \bigoplus_{v \in E_n^0} \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} : \bigoplus_{v \in E_n^0} \mathbb{Z}^2 \rightarrow \bigoplus_{v \in E_n^0} \mathbb{Z}^2$  satisfy  $T_n B_n = A_n T_n$  and so there is a group isomorphism  $\varinjlim (\bigoplus_{v \in E_n^0} \mathbb{Z}^2, B_n) \cong \varinjlim (\bigoplus_{v \in E_n^0} \mathbb{Z}^2, A_n)$  that carries each  $(a, b)\delta_v$  to  $(b, a+b)\delta_v$  according to the commuting diagram

$$\begin{array}{ccccc} \mathbb{Z}^2 \delta_v & \xrightarrow{\cong} & \frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z} & \xrightarrow{A_n(v,u)} & \frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z} \xrightarrow{\cong} & \mathbb{Z}^2 \delta_u \\ \uparrow T_n(v,v) & & & & & \uparrow T_n(u,u) \\ \mathbb{Z}^2 \delta_v & & & \xrightarrow{B_n(v,u)} & & \mathbb{Z}^2 \delta_u \end{array}$$

After identifying each  $\mathbb{Z}^2 \delta_v$  with  $\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z}$  as above, we obtain the desired isomorphism  $K_1(C^*(\Lambda), c) \cong K_0(C^*(\Lambda), c)$ .  $\square$

Having computed the  $K$ -theory of the  $C^*(\Lambda, c)$ , we conclude by observing that they are all classifiable by their  $K$ -theory. We say that a weighted Bratteli diagram is cofinal if the underlying Bratteli diagram is cofinal.

**Corollary 5.12.** *Let  $\Lambda = \Lambda_E$  be the rank-3 Bratteli diagram associated to a singly connected weighted Bratteli diagram  $E$ . Take  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ , let  $c_\theta^3 \in Z^2(\mathbb{Z}^3, \mathbb{T})$  be as in (5.2), and let  $c = d_* c_\theta^3 \in Z^2(\Lambda, \mathbb{T})$ . Then  $C^*(\Lambda, c)$  is an AT-algebra of real rank zero, and is simple if and only if  $E$  is cofinal, in which case it is classified up to isomorphism by ordered  $K$ -theory and scale.*

*Proof.* Each  $A_{w(v)\theta}$  is an AT algebra [11], and has real rank zero since it has a unique trace (see, for example, [4, Theorem 1.3]). Since direct limits of AT algebras are AT and since direct limits of  $C^*$ -algebras of real rank zero also have real rank zero,  $C^*(\Lambda, c)$  is also an AT-algebra of real rank zero.

It is straightforward to verify that  $E$  is cofinal if and only if  $\Lambda$  is cofinal. Hence [31, Lemma 7.2] implies that if  $E$  is not cofinal then  $C^*(\Lambda, c)$  is not simple. Now suppose that  $E$  is cofinal. Following the argument of [1, Proposition 5.1] shows that any ideal of  $C^*(\Lambda, c)$  which contains some  $s_v$  is all of  $C^*(\Lambda, c)$ . So if  $\psi$  is a nonzero homomorphism of  $C^*(\Lambda, c)$ , then  $\psi(s_v) \neq 0$  for all  $v$ . That is  $\psi|_{C^*(\Lambda_v, c)}$  is nonzero for each  $v \in E^0$ . But each  $C^*(\Lambda_v, c) \cong A_{w(v)\theta} \otimes M_{w(v)}(\mathbb{C})$  is simple, and it follows that  $\psi$  is injective on each  $C^*(\Lambda_v, c)$  and hence isometric on each  $\bigoplus_{v \in E_n^0} C^*(\Lambda_v, c)$ . So  $\psi$  is isometric on a dense subspace of  $C^*(\Lambda, c)$  and hence on all of  $C^*(\Lambda, c)$ . Thus  $C^*(\Lambda, c)$  is simple.

The final assertion follows from Elliott's classification theorem [9].  $\square$

**Corollary 5.13.** *Let  $\Lambda = \Lambda_E$  be the rank-3 Bratteli diagram associated to a singly connected cofinal weighted Bratteli diagram  $E$ . Take  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ , let  $c_\theta^3 \in Z^2(\mathbb{Z}^3, \mathbb{T})$  be as in (5.2), and let  $c = d_* c_\theta^3 \in Z^2(\Lambda, \mathbb{T})$ . Then there is a rank-2 Bratteli diagram  $\Gamma$  (as described in [24, Definition 4.1]) such that  $C^*(\Gamma)$  is Morita equivalent to  $C^*(\Lambda, c)$ .*

*Proof.* We have seen above that  $C^*(\Lambda, c)$  is a simple AT algebra of real rank zero. We claim that  $K_0(C^*(\Lambda, c))$  is a Riesz group in the sense of [7, Section 1]. To see this, observe that it is clearly a countably group satisfying  $na \geq 0$  implies  $a \geq 0$  for all  $a \in K_0(C^*(\Lambda, c))$ . Fix finite sets  $\{a_i : i \in I\}$  and  $\{b_j : j \in J\}$  of elements of  $G$  such that  $a_i \leq b_j$  for all  $i, j$ ; we must find  $c$  such that  $a_i \leq c \leq b_j$  for all  $i, j$ . We may assume that the  $a_i, b_j$  all belong to some fixed  $\bigoplus_{v \in E_n^0} \frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z}$ , and since the order on this group is the coordinatewise partial order, it suffices to suppose that they all belong to some fixed  $(\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z})\delta_v$ ; but this is a totally ordered subgroup of  $\mathbb{R}$ , so we can take  $c = \max_i a_i$ .

It now follows from [7, Theorem 2.2] that  $K_0(C^*(\Lambda, c))$  is a dimension group. We claim that it is simple. Indeed, suppose that  $J$  is a nontrivial ideal of  $K_0(C^*(\Lambda, c))$ . Then each  $J_v := J \cap (\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z})\delta_v$  is an ideal in this subgroup, and therefore the whole subgroup since each  $\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z}$  is a simple dimension group. Since  $J$  is nontrivial, we may fix  $v \in E^0$ , say  $v \in E_p^0$  such that  $J_v \neq \emptyset$ , and therefore  $J_v = (\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z})\delta_v$ . Choose  $v' \in E^0$ , say  $v' \in E_m^0$ ; we just have to show that  $J_{v'}$  is nontrivial. Since  $E$  is cofinal, there exists  $n$  sufficiently large so that  $s(v'E^n) \subseteq s(vE^*)$  (see, for example, [22, Proposition A.2]). It follows that the element  $1\delta_{v'}$  of  $(\frac{1}{w(v')} \mathbb{Z} + \theta\mathbb{Z})\delta_{v'}$  satisfies  $1\delta_{v'} = \sum_{\mu \in v'E^n} 1\delta_s(\mu)$ . Let  $N := |v'E^n|$ . Then

$$N\delta_v = N \left( \sum_{\nu \in vE^{m+n-p}} 1\delta_s(\nu) \right) \geq \sum_{u \in E_{m+n}^0, vE^*u \neq \emptyset} N\delta_u \geq \delta_{v'}.$$

Since  $N\delta_v \in J$  and  $J$  is an ideal of the Riesz group  $K_0(C^*(\Lambda, c))$ , it follows that  $\delta_{v'} \in J$ . Hence  $K_0(C^*(\Lambda, c))$  is a simple dimension group.

For any  $v$ , we have  $(\frac{1}{w(v)}\mathbb{Z} + \theta\mathbb{Z})\delta_v \cong \mathbb{Z}^2$  as a group, so  $K_0(C^*(\Lambda, c))$  is not  $\mathbb{Z}$ . Now the argument of the proof of [24, Theorem 6.2(2)] shows that there is a sequence of proper nonnegative matrices  $A'_n \in M_{q_n, q_{n+1}}(\mathbb{N})$  such that  $K_0(C^*(\Lambda, c)) = \varinjlim (\mathbb{Z}^{q_n}, A'_n)$ . We may now apply [24, Theorem 6.2(2)] with  $B_n = A_n = A'_n$  and  $T_n = \text{id}_{q_n}$  for all  $n$  to see that there is a rank-2 Bratteli diagram  $\Gamma$  such that  $C^*(\Gamma)$  is simple and has real rank zero and ordered  $K$ -theory is identical to that of  $C^*(\Lambda, c)$ . So the two are Morita equivalent by Corollary 5.12.  $\square$

## 6. EXAMPLES

In this section we present a few illustrative examples of our  $K$ -theory calculations from the preceding section.

*Example 6.1.* Consider the rank-3 Bratteli diagram  $\Lambda$  associated to the singly connected weighted Bratteli diagram  $E$  pictured below.

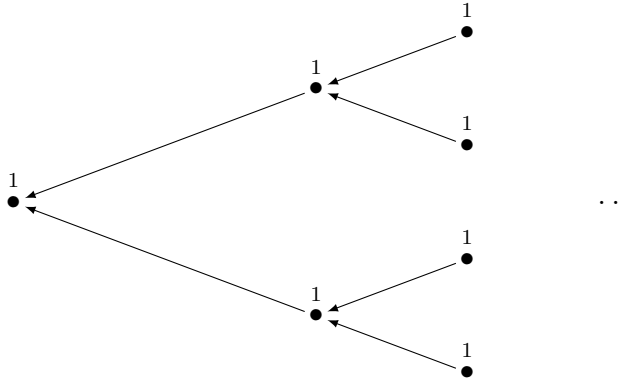


Let  $v_n$  be the vertex at level  $n$ , and let  $e_n$  denote the unique edge with range  $v_n$ . Let  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ , let  $c_\theta^3 \in Z^2(\mathbb{Z}^3, \mathbb{T})$  be as in (5.2), and let  $c = d_*c_\theta^3 \in Z^2(\Lambda, \mathbb{T})$ ; this is (up to cohomology) the unique 2-cocycle extending  $c_1 = d_*c_\theta \in Z^2(\Lambda_1, \mathbb{T})$ . By Theorem 4.4 the twisted 3-graph  $C^*$ -algebra  $C^*(\Lambda, c)$  is Morita equivalent to  $\varinjlim (C^*(\Lambda_{v_n}, c_{v_n}), \iota_{e_n})$ . Hence

$$K_0(C^*(\Lambda, c)) \cong \bigcup_n \left( \frac{1}{2^n} \mathbb{Z} + \theta \mathbb{Z} \right),$$

with positive cone  $(\mathbb{Z}[\frac{1}{2}] + \theta\mathbb{Z}) \cap [0, \infty)$ , and  $K_1(C^*(\Lambda, c)) \cong K_0(C(\Lambda, c))$  as groups.

*Example 6.2.* Let  $\Lambda = \Lambda_E$  be the rank-3 Bratteli diagram associated to the singly connected weighted Bratteli diagram  $E$  given by

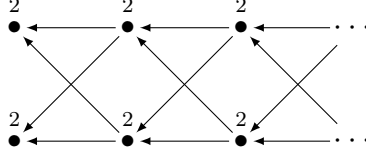


For each  $n \geq 1$  let  $v_{n,j}$  denote the  $j$ 'th vertex of  $E$  at level  $n$  counting from top to bottom, and for each  $n \geq 2$  let  $e_{n,j}$  denote the unique edge of source  $v_{n,j}$ . Let  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ , let  $c_\theta^3 \in Z^2(\mathbb{Z}^3, \mathbb{T})$  be as in (5.2), and let  $c = d_*c_\theta^3 \in Z^2(\Lambda, \mathbb{T})$ . Then

$$K_1(C^*(\Lambda, c)) \cong K_0(C^*(\Lambda, c)) \cong \left( \bigoplus_{i=1}^{2^{n-1}} (\mathbb{Z} + \theta\mathbb{Z}), a \mapsto (a, a) \right),$$

which is isomorphic to  $(\mathbb{Z} + \theta\mathbb{Z})^\infty \subseteq \mathbb{R}^\infty$ , with positive cone carried to  $(\mathbb{Z} + \theta\mathbb{Z})^\infty \cap [0, \infty)^\infty$ .

*Example 6.3.* Consider the rank-3 Bratteli diagram  $\Lambda$  associated to the singly connected weighted Bratteli diagram  $E$  pictured below.



Let  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ , let  $c_\theta^3 \in Z^2(\mathbb{Z}^3, \mathbb{T})$  be as in (5.2), and let  $c = d_* c_\theta^3 \in Z^2(\Lambda, \mathbb{T})$ . Then

$$K_1(C^*(\Lambda, c)) \cong K_0(C^*(\Lambda, c)) \cong \varinjlim ((\mathbb{Z} + \theta\mathbb{Z}) \oplus (\mathbb{Z} + \theta\mathbb{Z}), (\text{id} \text{ id})),$$

which is isomorphic to  $\mathbb{Z}[\frac{1}{2}] + \mathbb{Z}[\frac{\theta}{2}]$  with positive cone  $(\mathbb{Z}[\frac{1}{2}] + \mathbb{Z}[\frac{\theta}{2}]) \cap [0, \infty)$ .

## 7. RANK-3 BRATTELI DIAGRAMS AND TRACES

In this section we show how to identify traces on twisted  $C^*$ -algebras associated to rank-3 Bratteli diagrams.

First we briefly introduce densely defined traces on  $C^*$ -algebras, following [26]. (Note that there are other definitions of a trace; see for example [16].) We let  $A^+$  denote the positive cone in a  $C^*$ -algebra  $A$ , and we extend arithmetic on  $[0, \infty]$  so that  $0 \times \infty = 0$ . A *trace* on a  $C^*$ -algebra  $A$  is an additive map  $\tau : A^+ \rightarrow [0, \infty]$  which respects scalar multiplication by non-negative reals and satisfies the *trace property*  $\tau(a^*a) = \tau(aa^*)$ ,  $a \in A$ . A trace  $\tau$  is *faithful* if  $\tau(a) = 0$  implies  $a = 0$ . It is *semifinite* if it is finite on a norm dense subset of  $A^+$  i.e.,  $\{a \in A^+ : 0 \leq \tau(a) < \infty\} = A^+$ . A trace  $\tau$  is *lower semicontinuous* if  $\tau(a) \leq \liminf_n \tau(a_n)$  whenever  $a_n \rightarrow a$  in  $A^+$ . We may extend a semifinite trace  $\tau$  by linearity to a linear functional on a dense subset of  $A$ . The domain of definition of a densely defined trace is a two-sided ideal  $I_\tau \subset A$ .

Following [26, 32] a *graph trace* on a  $k$ -graph  $\Lambda$  is a function  $g : \Lambda^0 \rightarrow \mathbb{R}^+$  satisfying the *graph trace property*

$$g(v) = \sum_{\lambda \in v\Lambda \leq n} g(s(\lambda)) \quad \text{for all } v \in \Lambda^0 \text{ and } n \in \mathbb{N}^k.$$

A graph trace is *faithful* if it is non-zero on every vertex in  $\Lambda$ .

**Lemma 7.1** ([26]). *Let  $\Lambda$  be a row-finite locally convex  $k$ -graph, and let  $c \in Z^2(\Lambda, \mathbb{T})$ . For each semifinite trace  $\tau$  on  $C^*(\Lambda, c)$  there is a graph trace  $g$  on  $\Lambda$  such that  $g(v) = \tau(s_v)$  for all  $v \in \Lambda^0$ .*

*Proof.* Fix  $v \in \Lambda^0$ . Since  $\tau$  is semifinite we may extend it to the two-sided ideal  $I_\tau = \{a : \tau(a) \leq \infty\}$ . Choose  $a \in (I_\tau)_+$  such that  $\|s_v - a\| < 1$ . Then  $\|s_v - s_v a s_v\| < 1$ , and so  $s_v = b s_v a s_v \in I_\tau$ , where  $b$  is the inverse of  $s_v a s_v$  in  $s_v C^*(\Lambda, c) s_v$ . In particular  $g(v) = \tau(s_v) < \infty$ . The graph trace property follows from applying  $\tau$  to (CK4).  $\square$

It turns out, conversely, that each graph trace corresponds to a trace. This however requires a bit more machinery which we now introduce. Recall that each twisted  $k$ -graph  $C^*$ -algebra  $C^*(\Lambda, c)$  carries a *gauge action*  $\gamma$  of  $\mathbb{T}^k$  such that  $\gamma_z(s_\lambda) = z^{d(\lambda)} s_\lambda$ . Averaging against Haar measure over this action gives a faithful conditional expectation  $\Phi^\gamma : a \mapsto \int_{\mathbb{T}^k} \gamma_z(a) dz$  onto the fixed-point algebra  $C^*(\Lambda, c)^\gamma$ , which is called the *core*. We have  $\Phi^\gamma(s_\mu s_\nu^*) = \delta_{d(\mu), d(\nu)} s_\mu s_\nu^*$ , and so  $C^*(\Lambda, c)^\gamma = \overline{\text{span}}\{s_\mu s_\nu^* : d(\mu) = d(\nu)\}$ . For every finite set  $F \subseteq \Lambda$  there is a smallest finite set  $F' \subseteq \Lambda$  such that  $F \subseteq F'$  and  $A_{F'} :=$

$\text{span}\{s_\mu s_\nu^* \in C^*(\Lambda, c)^\gamma : \mu, \nu \in F'\}$  is a finite dimensional  $C^*$ -algebra [30, Lemma 3.2]. For two finite sets  $F \subseteq G \subseteq \Lambda$ , we have  $F' \subseteq G'$  so  $A_{F'} \subseteq A_{G'}$ . So any increasing sequence of finite subsets  $F_n$  such that  $\bigcup_n F_n = \Lambda$  gives an AF decomposition of  $C^*(\Lambda, c)^\gamma$ .

The following lemma was proved for  $c = 1$  by Pask, Rennie and Sims using the augmented boundary path representation on  $\ell^2(\partial\Lambda) \otimes \ell^2(\mathbb{Z}^k)$  (see the first arXiv version of [26]). When  $c \neq 1$  it is not clear how to represent  $C^*(\Lambda, c)$  on  $\ell^2(\partial\Lambda)$ , so we proceed in a different way:

**Lemma 7.2.** *Let  $\Lambda$  be a row-finite locally convex  $k$ -graph and let  $c \in Z^2(\Lambda, \mathbb{T})$ . There is a faithful conditional expectation  $E$  of  $C^*(\Lambda, c)$  onto  $\overline{\text{span}}\{s_\mu s_\mu^*\}$  which satisfies*

$$E(s_\mu s_\nu^*) = \begin{cases} s_\mu s_\mu^* & \text{if } \mu = \nu \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* Recall that the linear map  $E : M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C})$  such that  $E(\theta_{i,j}) = \delta_{i,j}\theta_{i,i}$  is a faithful conditional expectation.

Fix a finite set  $F \subseteq \Lambda$ . Select the smallest finite set  $F' \subseteq \Lambda$  such that  $F \subseteq F'$  and  $A_{F'} = \text{span}\{s_\mu s_\nu^* : \mu, \nu \in F', d(\mu) = d(\nu)\}$  is a finite-dimensional  $C^*$ -algebra. There exist integers  $k_i$  and an isomorphism  $A_{F'} \cong \bigoplus_{i=1}^n M_{k_i}(\mathbb{C})$  which carries  $\text{span}\{s_\mu s_\mu^* : \mu \in F'\}$  to  $\text{span}\{\theta_{ii}\}$ , and carries each  $s_\mu s_\nu^*$  with  $\mu \neq \nu$  into  $\text{span}\{\theta_{ij} : i \neq j\}$  [31, Equation (3.2)]. Hence the map  $s_\mu s_\nu^* \mapsto \delta_{\mu,\nu} s_\mu s_\mu^*$ , from  $A_{F'}$  into its canonical diagonal subalgebra  $\text{span}\{s_\mu s_\mu^* : \mu \in F'\}$  is a faithful conditional expectation. Extending this map by continuity to  $C^*(\Lambda, c)^\gamma = \overline{\bigcup_{F'} A_{F'}}$  gives a norm-decreasing linear map  $\Psi : C^*(\Lambda, c)^\gamma \rightarrow \overline{\text{span}}\{s_\mu s_\mu^*\}$  satisfying  $\Psi(s_\mu s_\nu^*) = \delta_{\mu,\nu} s_\mu s_\mu^*$ . This  $\Psi$  is an idempotent of norm one, and is therefore a conditional expectation by [3, Theorem II.6.10.2]. Since  $\Psi$  agrees with the usual expectation of the AF-algebra  $C^*(\Lambda, c)^\gamma$  onto its canonical diagonal subalgebra, it is faithful. Hence the composition  $E := \Psi \circ \Phi^\gamma$  is the desired faithful conditional expectation from  $C^*(\Lambda, c)$  onto  $\overline{\text{span}}\{s_\mu s_\mu^*\}$ .  $\square$

**Lemma 7.3** (c.f. [26, Proposition 3.10]). *Let  $\Lambda$  be a row-finite locally convex  $k$ -graph and let  $c \in Z^2(\Lambda, \mathbb{T})$ . For each faithful graph trace  $g$  on  $\Lambda$  there is a faithful, semifinite, lower semicontinuous, gauge invariant trace  $\tau_g$  on  $C^*(\Lambda, c)$  such that  $\tau_g(s_\mu s_\nu^*) = \delta_{\mu,\nu} g(s(\mu))$  for all  $\mu, \nu \in \Lambda$ .*

*Proof.* Take a finite  $F \subseteq \Lambda$  and scalars  $\{a_\mu : \mu \in F\}$ . Suppose that  $\sum_{\mu \in F} a_\mu s_\mu s_\mu^* = 0$ . Let  $N := \bigvee_{\mu \in F} d(\mu)$ . Relation (CK) implies that  $\sum_{\mu \in F} \sum_{\alpha \in s(\mu)\Lambda^{\leq N-d(\mu)}} a_\mu s_{\mu\alpha} s_{\mu\alpha}^* = 0$ . Let  $G := \{\mu\alpha : \mu \in F, \alpha \in s(\mu)\Lambda^{\leq N-d(\mu)}\}$ , and for  $\lambda \in G$ , let  $b_\lambda := \sum_{\mu \in F, \lambda = \mu\mu'} a_\mu$ . Then

$$0 = \sum_{\mu \in F} \sum_{\alpha \in s(\mu)\Lambda^{\leq N-d(\mu)}} a_\mu s_{\mu\alpha} s_{\mu\alpha}^* = \sum_{\lambda \in G} b_\lambda s_\lambda s_\lambda^*.$$

Since (CK) implies that the  $s_\lambda s_\lambda^*$  where  $\lambda \in G$  are mutually orthogonal, we deduce that each  $b_\lambda = 0$ . Now the graph-trace property gives

$$\sum_{\mu \in F} a_\mu g(s(\mu)) = \sum_{\mu \in F} \sum_{\alpha \in s(\mu)\Lambda^{\leq N-d(\mu)}} a_\mu g(s(\alpha)) = \sum_{\lambda \in G} b_\lambda g(s(\lambda)) = 0.$$

So there is a well-defined linear map  $\tau_g^0 : \text{span}\{s_\mu s_\mu^* : \mu \in \Lambda\} \rightarrow \mathbb{R}^+$  such that  $\tau_g^0(s_\mu s_\mu^*) = g(s(\mu))$  for all  $\mu$ . Let  $E : C^*(\Lambda, c) \rightarrow \overline{\text{span}}\{s_\mu s_\mu^* : \mu \in \Lambda\}$  be the map of Lemma 7.2. Then  $E$  restricts to a map from  $A_c := \text{span}\{s_\mu s_\nu^* : \mu, \nu \in \Lambda\}$  to  $\text{span}\{s_\mu s_\mu^* : \mu \in \Lambda\}$ . Define  $\tau_g := \tau_g^0 \circ E : A_c \rightarrow \text{span}\{s_\mu s_\mu^* : \mu \in \Lambda\}$ .

We claim that  $\tau_g$  satisfies the trace condition. For this, it suffices to show that

$$(7.1) \quad \tau_g(s_\lambda s_\mu^* s_\eta s_\zeta^*) = \tau_g(s_\eta s_\zeta^* s_\lambda s_\mu^*) \quad \text{for all } \lambda, \mu, \eta, \zeta.$$

Since  $E(s_\mu s_\nu^*) = 0$  unless  $d(\mu) = d(\nu)$ , both sides of (7.1) are zero unless  $d(\lambda) - d(\mu) = d(\zeta) - d(\eta)$ . We have

$$(7.2) \quad \begin{aligned} \tau_g(s_\lambda s_\mu^* s_\eta s_\zeta^*) &= \sum_{(\alpha, \beta) \in \Lambda^{\min}(\mu, \eta)} c(\lambda, \alpha) \overline{c(\mu, \alpha)} c(\eta, \beta) \overline{c(\zeta, \beta)} \tau_g(s_{\lambda\alpha} s_{\zeta\beta}^*) \\ &= \sum_{\substack{(\alpha, \beta) \in \Lambda^{\min}(\mu, \eta) \\ \lambda\alpha = \zeta\beta}} c(\lambda, \alpha) \overline{c(\mu, \alpha)} c(\eta, \beta) \overline{c(\zeta, \beta)} g(s(\alpha)). \end{aligned}$$

Similarly,

$$(7.3) \quad \tau_g(s_\eta s_\zeta^* s_\lambda s_\mu^*) = \sum_{\substack{(\beta, \alpha) \in \Lambda^{\min}(\zeta, \lambda) \\ \eta\beta = \mu\alpha}} c(\lambda, \alpha) \overline{c(\mu, \alpha)} c(\eta, \beta) \overline{c(\zeta, \beta)} g(s(\beta))$$

The argument of the paragraph following Equation (3.6) of [13] shows that  $(\alpha, \beta) \mapsto (\beta, \alpha)$  is a bijection from the indexing set on the right-hand side of (7.2) to that on the right-hand side of (7.3), giving (7.1).

We now follow the proof of Proposition 3.10 of [25], beginning from the second sentence, except that in the final line of the proof, we apply the gauge-invariant uniqueness theorem [31, Theorem 3.15] with  $\mathcal{E} = \text{FE}(\Lambda)$  rather than [29, Theorem 4.1].  $\square$

**Theorem 7.4.** *Let  $\Lambda$  be a row-finite locally convex  $k$ -graph and let  $c \in Z^2(\Lambda, \mathbb{T})$ . The map  $g \mapsto \tau_g$  of Lemma 7.3 is a bijection between faithful graph traces on  $\Lambda$  and faithful, semifinite, lower semicontinuous, gauge invariant traces on  $C^*(\Lambda, c)$ .*

*Proof.* Combine Lemma 7.1 and Lemma 7.3.  $\square$

*Remark 7.5.* If  $g$  is a (not necessarily faithful) graph trace, then the graph-trace condition ensures that  $H_g := \{v \in \Lambda^0 : g(v) = 0\}$  is saturated and hereditary in the sense of [29, Section 5], and so  $\Lambda \setminus \Lambda H_g$  is also a locally convex row-finite  $k$ -graph [29, Theorem 5.2(b)]. If  $I_{H_g}$  is the ideal of  $C^*(\Lambda, c)$  generated by  $\{s_v : v \in H_g\}$ , then [31, Corollary 4.5] shows that  $C^*(\Lambda, c)/I_{H_g}$  is canonically isomorphic to  $C^*(\Lambda \setminus \Lambda H_g, c|_{\Lambda \setminus \Lambda H_g})$ . It is easy to see that  $g$  restricts to a faithful graph trace on  $\Lambda \setminus \Lambda H_g$ , so Lemma 7.3 gives a faithful semifinite lower-semicontinuous gauge-invariant trace on  $C^*(\Lambda \setminus \Lambda H_g, c|_{\Lambda \setminus \Lambda H_g})$ . Composing this with the canonical homomorphism  $\pi_{H_g} : C^*(\Lambda, c) \rightarrow C^*(\Lambda \setminus \Lambda H_g, c|_{\Lambda \setminus \Lambda H_g})$  gives a semifinite lower-semicontinuous gauge-invariant trace on  $C^*(\Lambda, c)$ . So Theorem 7.4 remains valid if the word ‘‘faithful’’ is removed throughout.

**Definition 7.6.** Let  $(E, \Lambda, p)$  be a Bratteli diagram of covering maps between  $k$ -graphs. For each  $v \in E^0$ , let  $g_v : \Lambda_v^0 \rightarrow \mathbb{R}^+$  be a graph trace. We say that the collection  $(g_v)$  of graph traces is *compatible* if

$$g_v(u) = \sum_{e \in {}_v E^1} \sum_{p_e(w)=u} g_{s(e)}(w) \quad \text{for all } v \in E^0 \text{ and } u \in \Lambda_v^0.$$

We will show in Lemma 7.8 that the compatibility requirement is necessary and sufficient to combine the  $g_v$  into a graph trace on the  $(k+1)$ -graph  $\Lambda_E$  associated to the Bratteli diagram  $E$  of covering maps.



**Lemma 7.7.** *A function  $g: \Lambda^0 \rightarrow \mathbb{R}^+$  on the vertices of a locally convex  $k$ -graph  $\Lambda$  is a graph trace if and only if for all  $v \in \Lambda^0$  and  $i \in \{1, \dots, k\}$  with  $v\Lambda^{e_i} \neq \emptyset$  we have*

$$(7.4) \quad g(v) = \sum_{\lambda \in v\Lambda^{e_i}} g(s(\lambda)).$$

*Proof.* It is clear that every graph trace satisfies (7.4). The reverse implication is a straightforward induction along the lines of, for example, the proof of [29, Proposition 3.11].  $\square$

**Lemma 7.8.** *Let  $\Lambda = \Lambda_E$  be the  $(k+1)$ -graph associated to a Bratteli diagram of covering maps between row finite locally convex  $k$ -graphs. Let  $g_v: \Lambda_v^0 \rightarrow \mathbb{R}^+$  be a graph trace for each  $v \in E^0$ . Define  $g: \Lambda^0 \rightarrow \mathbb{R}^+$  by  $g \circ \iota_v = g_v$  for all  $v \in E^0$ . Then  $g$  is a graph trace if and only if  $(g_v)$  is compatible.*

*Proof.* If  $g$  is a graph trace, then (7.4) with  $i = k+1$  shows that the  $g_v$  are compatible. Conversely, if the  $g_v$  are compatible, then (7.4) holds for  $i \leq k$  because each  $g_v$  is a graph trace, and for  $i = k+1$  by compatibility. So the result follows from Lemma 7.7.  $\square$

**Lemma 7.9.** *Let  $\Lambda = \Lambda_E$  be the rank-3 Bratteli diagram associated to a singly connected weighted Bratteli diagram  $E$ . Consider the Bratteli diagram  $F$  such that  $F^0 = E^0$  and  $F^1 = \bigsqcup_{e \in E^1} \{e\} \times \mathbb{Z}/(w(s(e))/w(r(e)))\mathbb{Z}$ , with  $r(e, i) = r(e)$  and  $s(e, i) = s(e)$ . For each graph trace  $h$  on  $F$ , there is a graph trace  $g_h$  on  $\Lambda$  such that  $g_h((v, j)) = h(v)$  for all  $v \in F^0$  and  $j \in \mathbb{Z}/w(v)\mathbb{Z}$ , and the map  $h \mapsto g_h$  is bijection between graph traces on  $F$  and graph traces on  $\Lambda$ .*

*Proof.* Given a graph trace  $h$  on  $F$ , define functions  $g_v: \Lambda_v^0 \rightarrow [0, \infty)$  by  $g_v(v, i) = h(v)$  for all  $i$ . Since each  $(v, i)\Lambda_v^{e_1} = (v, i)\Lambda_v^{e_1}(v, i+1) = \{(a_v, i)\}$  and  $(v, i)\Lambda_v^{e_2} = (v, i)\Lambda_v^{e_2}(v, i+1) = \{(b_v, i)\}$ , the  $g_v$  are all graph traces by Lemma 7.7. Since  $h$  is a graph trace, each  $h(v) = \sum_{e \in vF^1} h(s(e))$ . So each

$$\begin{aligned} g_v(v, i) &= \sum_{e \in vF^1} h(s(e)) = \sum_{e \in vE^1, j < w(s(e))/w(r(e))} g_{s(e)}(s(e), i + jw(r(e))) \\ &= \sum_{e \in vE^1, p_e(s(e), j) = (v, i)} g_{s(e)}(s(e), j). \end{aligned}$$

So the  $g_v$  are compatible, and there is a graph trace  $g$  as claimed.

Conversely, given a graph trace  $g$  on  $\Lambda$ , define  $h: F^0 \rightarrow [0, \infty)$  by  $h(v) = g(v, 0)$ . Since each  $(v, i)\Lambda_v^{e_1} = \{(a_v, i)\}$  and  $s(a_v, i) = (v, i+1)$ , we have  $g(v, i) = g(v, i+1)$  for all  $i$ , and so  $g(v, i) = g(v, j)$  for all  $v \in E^0$  and  $i, j \in \mathbb{Z}/w(v)\mathbb{Z}$ . So each

$$h(v) = g(v, 0) = \sum_{\alpha \in (v, 0)\Lambda^{e_3}} g(s(\alpha)) = \sum_{e \in vE^1} w(s(e))/w(r(e))g(s(e), 0) = \sum_{f \in vF^1} h(s(f)).$$

So  $h$  is a graph trace, and  $g = g_h$ .  $\square$

**Corollary 7.10.** *Let  $\Lambda = \Lambda_E$  be the rank-3 Bratteli diagram associated to a singly connected weighted Bratteli diagram  $E$ , and take  $\theta \in \mathbb{R}$ . Let  $c_\theta^3 \in Z^2(\mathbb{Z}^3, \mathbb{T})$  be as in (5.2), and let  $c = d_*c_\theta^3 \in Z^2(\Lambda, \mathbb{T})$ . Consider the Bratteli diagram  $F$  of Lemma 7.9. Let  $\tau$  be a semifinite lower-semicontinuous trace on  $C^*(F)$ . There is a gauge-invariant semifinite lower-semicontinuous trace  $\tilde{\tau}$  on  $C^*(\Lambda, c)$  such that  $\tilde{\tau}(p_{(v, i)}) = \tau(p_v)$  for all  $v \in F^0$  and  $i \in \mathbb{Z}/w(v)\mathbb{Z}$ . The map  $\tau \mapsto \tilde{\tau}$  is a bijection between semifinite lower-semicontinuous*

traces on  $C^*(F)$  and gauge-invariant semifinite lower-semicontinuous traces on  $C^*(\Lambda, c)$ . If  $\theta$  is irrational then every semifinite lower-semicontinuous trace on  $C^*(\Lambda, c)$  is gauge-invariant.

*Proof.* Lemma 7.1 show that each semifinite trace  $\tau$  on  $C^*(F)$  determines a graph trace  $h = h_\tau$  on  $F$  such that  $h_\tau(v) = \tau(p_v)$ . Lemma 7.9 shows that there is then a graph trace  $g = g_h$  on  $\Lambda$  such that  $g(v, i) = h(v) = \tau(p_v)$  for all  $v \in F^0$ . Now Lemma 7.3 and Remark 7.5 yield a gauge-invariant semifinite lower-semicontinuous trace  $\tilde{\tau} = \tau_g$  such that  $\tilde{\tau}(p_{(v,i)}) = g(v, i) = h(v) = \tau(p_v)$  as claimed. We have

$$C^*(F) = \overline{\bigcup_n \text{span}\{s_\mu s_\nu^* : s(\mu) = s(\nu) \in E_n^0\}}.$$

Each  $\text{span}\{s_\mu s_\nu^* : s(\mu) = s(\nu) \in E_n^0\} \cong \bigoplus_{v \in E_n^0} M_{F^*v}(\mathbb{C})$  via  $s_\mu s_\nu^* \mapsto \theta_{\mu,\nu}$ ; in particular this isomorphism carries each  $p_v$  to a minimal projection in the summand  $M_{F^*v}(\mathbb{C})$ . So each trace on  $C^*(F)$  is completely determined by its values on the  $p_v$ , and so  $\tau \mapsto \tilde{\tau}$  is injective.

If  $\rho$  is a gauge-invariant semifinite lower-semicontinuous trace on  $C^*(\Lambda, c)$  then Theorem 7.4 and Remark 7.5 shows that  $\rho = \tau_g$  where  $g$  is the graph trace on  $\Lambda$  such that  $g(v, i) = \rho(p_{(v,i)})$ . Now Lemma 7.9 shows that  $g = g_h$  and  $g_h(v, i) = h(v)$  for some graph trace  $h$  on  $C^*(F)$ , and then Theorem 7.4 and Remark 7.5 give a gauge-invariant semifinite lower-semicontinuous trace  $\tau = \tau_h$  on  $C^*(F)$  such that  $\tau(p_v) = h(v)$ . Hence  $\rho(p_{(v,i)}) = \tilde{\tau}(p_{(v,i)})$ . Now  $\rho = \tilde{\tau}$  because they are both gauge-invariant traces, and so Theorem 7.4 (and Lemma 7.3) shows that gauge-invariant traces are completely determined by their values on vertex projections.

Suppose that  $\theta$  is irrational and that  $\tau$  is a semifinite lower-semicontinuous trace on  $C^*(\Lambda, c)$ . For  $v \in E^0$ , let  $c_v := c|_{\Lambda_v}$ . Then  $\tau$  restricts to a trace on each  $C^*(\Lambda_v, c_v)$ . Lemma 5.8 shows that each  $C^*(\Lambda_v, c_v)$  is isomorphic to  $M_{w(v)}(A_\theta)$ . The gauge-invariant trace  $\tau_g$  on  $C^*(\Lambda, c)$  determined by the graph trace  $g(v, i) = \tau(p_{(v,i)})$  restricts to a trace on each  $C^*(\Lambda_v, c_v)$  such that  $\tau_g(s_\mu s_\nu^*) = \delta_{\mu,\nu} g(s(\mu)) = \delta_{\mu,\nu} \tau(p_{s(\mu)})$  for all  $\mu, \nu \in \Lambda_v$ , and in particular  $\|\tau_g|_{C^*(\Lambda_v, c_v)}\| = \sum_{i \in \mathbb{Z}/w(v)\mathbb{Z}} g(v, i) = \|\tau|_{C^*(\Lambda_v, c_v)}\|$ . Since there is only one trace on  $M_{w(v)}(A_\theta)$  with this norm, it follows that  $\tau|_{C^*(\Lambda_v, c_v)} = \tau_g|_{C^*(\Lambda_v, c_v)}$  and in particular

$$(7.5) \quad \tau(s_\mu s_\nu^*) = \delta_{\mu,\nu} \tau(p_{s(\mu)}) \quad \text{for } \mu, \nu \in \Lambda_v.$$

Now suppose that  $\alpha, \beta \in \Lambda$  and  $s(\alpha) = s(\beta)$ . Write  $\alpha = \eta\mu$  and  $\beta = \zeta\nu$  where  $\eta, \zeta \in \Lambda^{\text{Ne}_3}$  and  $\mu, \nu \in \iota_v(\Lambda_v)$  for some  $v \in E^0$ . Since  $d(\eta)_2 = d(\zeta)_2 = 0$ , we have  $c(\eta, \mu) = 1 = c(\zeta, \nu)$ . This and the trace condition give

$$\tau(s_\alpha s_\beta^*) = \tau(s_\eta s_\mu s_\nu^* s_\zeta^*) = \tau(s_\mu s_\nu^* s_\zeta^* s_\eta).$$

This is zero unless  $r(\zeta) = r(\eta)$ , so suppose that  $r(\zeta) = r(\eta) \in \iota_w(\Lambda_w^0)$ . Let  $m, n \in \mathbb{N}$  be the elements such that  $v \in E_n^0$  and  $w \in E_m^0$ . Since  $s(\zeta)$  and  $s(\eta)$  both belong to  $\iota_v(\Lambda_v^0)$ , we have  $d(\zeta) = d(\eta) = (n - m)e_3$ , and then  $s_\zeta^* s_\eta = \delta_{\zeta,\eta} p_{s(\zeta)}$ . So (7.5) gives

$$\tau(s_\alpha s_\beta^*) = \delta_{\zeta,\eta} \tau(s_\mu s_\nu^*) = \delta_{\zeta,\eta} \delta_{\mu,\nu} \tau(p_{s(\mu)}) = \delta_{\alpha,\beta} \tau(p_{s(\alpha)}) = \tau_g(s_\alpha s_\beta^*).$$

So  $\tau = \tau_g$ , and is gauge invariant as claimed.  $\square$

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