Overview

• Key dates
• Past outcomes
• Future Fellowship levels
• Selection criteria and weightings
• Strategic Research Priority Areas
• Preparing your application
• Funding support
• ARC assessment process
• Resources and contacts
## ARC Future Fellowships UOW Timetable (for 2013 funding)

<table>
<thead>
<tr>
<th>Key Dates</th>
<th>Timetable</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wed 8 July</td>
<td>Draft eligibility request due to RSO via RMS 2.0</td>
</tr>
<tr>
<td>Tues 14 July</td>
<td><strong>ARC due date</strong> for eligibility ruling requests</td>
</tr>
<tr>
<td>ASAP</td>
<td>Project Description provided to Associate Dean Research (internal candidates) or UOW Nominator (external candidates). Check you Faculty for any set dates</td>
</tr>
<tr>
<td>Tues 21 July</td>
<td>Hard copy <strong>draft</strong> of complete (RMS valid) application due to RSO (soft copy for external applicants to <a href="mailto:research-grants@uow.edu.au">research-grants@uow.edu.au</a>)</td>
</tr>
<tr>
<td>Mon 3 August</td>
<td><strong>Hard copy final</strong> application due to RSO Signed UOW External Grants Submission Form required (available from UOW website)</td>
</tr>
<tr>
<td>Fri 7 August</td>
<td>RSO to finalise applications. <strong>Further changes only permitted where required by the RSO</strong></td>
</tr>
<tr>
<td>Tues 11 August</td>
<td>Applications <strong>due to ARC</strong></td>
</tr>
</tbody>
</table>
# Essential Documents for Applicants

<table>
<thead>
<tr>
<th>Document</th>
<th>Available from:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Future Fellowships <em>Instructions to Applicants</em> for Funding Commencing in 2015</td>
<td>OR</td>
</tr>
<tr>
<td>Application Form (electronic)</td>
<td>RMS</td>
</tr>
<tr>
<td></td>
<td><a href="https://rms.arc.gov.au/">https://rms.arc.gov.au/</a></td>
</tr>
</tbody>
</table>
## Future Fellowship Success Rates

<table>
<thead>
<tr>
<th>Year</th>
<th>UOW</th>
<th>AUS</th>
<th>UOW</th>
<th>AUS</th>
<th>UOW</th>
<th>AUS</th>
<th>UOW</th>
<th>AUS</th>
<th>UOW</th>
<th>AUS</th>
<th>UOW</th>
<th>AUS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2009</td>
<td>23</td>
<td>975</td>
<td>17</td>
<td>759</td>
<td>22</td>
<td>661</td>
<td>17</td>
<td>603</td>
<td>47</td>
<td>1234</td>
<td>34</td>
<td>830</td>
</tr>
<tr>
<td>2010</td>
<td>7</td>
<td>200</td>
<td>4</td>
<td>200</td>
<td>5</td>
<td>203</td>
<td>4</td>
<td>209</td>
<td>3</td>
<td>201</td>
<td>7</td>
<td>150</td>
</tr>
<tr>
<td>2011</td>
<td>30.4%</td>
<td>26.4%</td>
<td>23.5%</td>
<td>22.7%</td>
<td>30.7%</td>
<td>23.5%</td>
<td>34.7%</td>
<td>6.0%</td>
<td>16.3%</td>
<td>20%</td>
<td>18.07%</td>
<td></td>
</tr>
<tr>
<td>2012</td>
<td>$5.11m</td>
<td>$147.4m</td>
<td>$2.8m</td>
<td>$143.8m</td>
<td>$3.47m</td>
<td>$144.3m</td>
<td>$3.09m</td>
<td>$151.56m</td>
<td>$3.09m</td>
<td>$152m</td>
<td>$3.09m</td>
<td>$114m</td>
</tr>
<tr>
<td>2013</td>
<td>$5.11m</td>
<td>$147.4m</td>
<td>$2.8m</td>
<td>$143.8m</td>
<td>$3.47m</td>
<td>$144.3m</td>
<td>$3.09m</td>
<td>$151.56m</td>
<td>$3.09m</td>
<td>$152m</td>
<td>$3.09m</td>
<td>$114m</td>
</tr>
<tr>
<td>2014</td>
<td>$5.11m</td>
<td>$147.4m</td>
<td>$2.8m</td>
<td>$143.8m</td>
<td>$3.47m</td>
<td>$144.3m</td>
<td>$3.09m</td>
<td>$151.56m</td>
<td>$3.09m</td>
<td>$152m</td>
<td>$3.09m</td>
<td>$114m</td>
</tr>
</tbody>
</table>

## Future Fellowship Success Rates 2014

Table 1. *Future Fellowships* proposals approved for funding commencing in 2014

<table>
<thead>
<tr>
<th>Salary Level</th>
<th>Proposals considered</th>
<th>Proposals approved</th>
<th>Success rate</th>
<th>Total requested funds (over project life)</th>
<th>Requested funds (over project life) of approved proposals</th>
<th>Funds allocated (over project life)</th>
<th>Allocation as a percentage of request</th>
</tr>
</thead>
<tbody>
<tr>
<td>Salary Level 1</td>
<td>537</td>
<td>96</td>
<td>17.88%</td>
<td>$385,783,255</td>
<td>$69,303,209</td>
<td>$69,206,609</td>
<td>99.86%</td>
</tr>
<tr>
<td>Salary Level 2</td>
<td>228</td>
<td>42</td>
<td>18.42%</td>
<td>$188,819,338</td>
<td>$34,587,571</td>
<td>$34,495,952</td>
<td>99.74%</td>
</tr>
<tr>
<td>Salary Level 3</td>
<td>65</td>
<td>12</td>
<td>18.46%</td>
<td>$61,839,241</td>
<td>$11,237,325</td>
<td>$11,216,921</td>
<td>99.82%</td>
</tr>
<tr>
<td>Total</td>
<td>830</td>
<td>150</td>
<td>18.07%</td>
<td>$636,441,834</td>
<td>$115,128,105</td>
<td>$114,919,482</td>
<td>99.82%</td>
</tr>
</tbody>
</table>

Follow, and write to, the selection criteria as per the Funding Rules:

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td>Future Fellowship Candidate</td>
<td>40%</td>
</tr>
<tr>
<td>Project quality (including National Research Priority)</td>
<td>35%</td>
</tr>
<tr>
<td>Strategic alignment</td>
<td>15%</td>
</tr>
<tr>
<td>Collaboration/Outreach</td>
<td>10%</td>
</tr>
</tbody>
</table>
### ARC Selection Criteria and Weightings

#### a. Future Fellowship Candidate

<table>
<thead>
<tr>
<th>Future Fellowship Level 1 and 2</th>
<th>Future Fellowship Level 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>- research opportunity and performance evidence (ROPE);</td>
<td>- research opportunity and performance evidence (ROPE);</td>
</tr>
<tr>
<td>- capacity and leadership to undertake the proposed research;</td>
<td>- capacity and leadership to initiate and manage large research projects;</td>
</tr>
<tr>
<td>- record of high quality research outputs appropriate to the discipline/s;</td>
<td>- record of outstanding research outputs appropriate to the discipline/s;</td>
</tr>
<tr>
<td>- evidence of national research standing.</td>
<td>- evidence of international research standing;</td>
</tr>
<tr>
<td></td>
<td>- evidence of excellence in research training and supervision;</td>
</tr>
<tr>
<td></td>
<td>- evidence of contribution to national and/or international public policy debates and initiatives.</td>
</tr>
</tbody>
</table>
Allowable career Interruptions:

a. carer’s responsibility;
b. disruption due to international relocation for post-doctoral studies or other research employment not exceeding three months per international relocation;
c. illness;
d. maternity or parental leave;
e. unemployment and/or
f. non-research employment not concurrent with research employment.

Supporting Documentation: If needed, each Eligibility Exemption Request is permitted up to five A4 pages of relevant supporting documents which provide justification for the request.

Table to be completed:

<table>
<thead>
<tr>
<th>Exemption Request Type</th>
<th>Non-employment and employment history: (include position, title)</th>
<th>Was the employment research related: (Yes/No)</th>
<th>Start and finish date: (month/year – month/year)</th>
<th>Total period: (in months/years)</th>
<th>Full-time equivalent: (in FTE) [i.e. maximum FTE is 1.0]</th>
</tr>
</thead>
</table>
Eligibility exemption requests – due 14/7

<table>
<thead>
<tr>
<th>Type</th>
<th>Funding Rules Section</th>
<th>Eligibility Exemption Requests definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 – Recognition of research experience</td>
<td>B6.3.2 b(i)</td>
<td>The proposed Future Fellowship Candidate does not hold a PhD but seeks recognition of research experience equivalent to between 5 and 15 years research experience since the award of a PhD.</td>
</tr>
<tr>
<td>2 - Outside timing requirements</td>
<td>B6.3.2 b(ii)</td>
<td>The proposed Future Fellowship Candidate’s qualification(s) or experience does not accord with the timing requirements of having been awarded a PhD on or after 1 March 1993, together with periods of significant career interruption (between the PhD award date and the closing time of submission of Proposals) which if taken into account would be commensurate with a PhD being awarded on or after 1 March 2000.</td>
</tr>
</tbody>
</table>
ROPE (Track Record) 40%

• Salesmanship – make your achievements stand out!

• Answer the dot points in the instructions and provide the relevant information within the time period requested – do not just cut and paste from a CV

• Make it easy for reviewers to see that you have answered the questions – use formatting (i) (ii) etc.

• Top 10 Publications – what was ‘your’ contribution to the paper (especially if middle author). Justify the impact or significance of the publication, limited to 30 words.

• Don’t repeat yourself – very frustrating for the reader and creates the perception you have limited achievements

• There are three statements required as well as the standard questions about your employment and research opportunities.

  1. contributions to the research field of this proposal
  2. capacity to conduct high quality, innovative research and you national and international research standing
  3. Capacity to build collaborations across industry and/or institutions and/or with other disciplines
ARC Selection Criteria and Weightings

b. Project quality (35%)
- Does the research address a significant problem?
- Is the conceptual/theoretical framework innovative and original?
- Will the aims, concepts, methods and results advance knowledge?
- Are the design and methods appropriate?
- Will the proposed research maximise economic, environmental, social, and/or cultural benefit to Australia?
- Does the Project represent value for money?
- Does the proposed Project address Strategic Research Priorities?
- Will the proposed Project involve multidisciplinary work?
- Will the proposed Project push the boundaries of research?
- Will the proposed Project open up new research opportunities?
- Is there a contribution to public policy formulation and debate?
c. Strategic alignment (15%)

• How well does the Future Fellowship Candidate align with and/or complement the research strengths or developing research strengths and staffing profile of the Administering Organisation?

• Are the necessary facilities available to conduct the proposed research?

• What resources will be provided by the Administering Organisation to support the Future Fellowship Candidate during her/his Future Fellowship and after the end of the Future Fellowship?

• Will the Future Fellowship Candidate transition to a continuing position within the Administering Organisation at the end of the Future Fellowship?
d. Collaboration/Outreach (10%)

• Are there adequate strategies to encourage dissemination, commercialisation, if appropriate; and promotion of research outcomes?

• How will the Future Fellowship Candidate build collaborations across research organisations and/or industry and/or with other disciplines?
Magic formula?

The ‘magic’ formula can be found in the selection criteria….

“a candidate of national or international research standing relative to opportunity, who has a record of high quality outputs and the capacity to undertake the work”

“an innovative, but appropriate, approach to a significant problem, with the potential to advance knowledge and provide benefit to Australia”

“a candidate well aligned with the research strength of the chosen host, with access to the necessary intellectual and physical resources for success”

“a clear plan to build appropriate collaboration, and effectively manage the outcomes of the research”
Strategic Research Priority Areas

• Living in a changing environment
• Promoting population health and wellbeing
• Managing our food and water assets
• Securing Australia’s place in a changing world
• Lifting productivity and economic growth
Strategic Research Priorities don’t fit?

NOTE: The following Questions and Answers are taken directly from the FAQ document on the ARC website:

Whilst strategic research areas do exist, the ARC will still welcome and accept any eligible Proposals from all research areas.

All Proposals submitted for a Future Fellowship will be assessed and ranked based on the selection criteria listed in the Funding Rules. All funding recommendations made to the Minister will be based on merit.

Is there a quota for the targeted research areas?
No. Quotas are not set for Future Fellows in the targeted research areas.
Application Overview

- There are eight Parts (A-H) in the FT15 Proposal Form:
  - Administrative Summary
  - Classification and Other Statistical Information
  - Personnel and ROPE (Note: This section will not appear until the Participant has been added/accepted in Part A.)
  - Project Description
  - Project Cost
  - Budget Justification
  - Research Support
  - Host Organisation (Note: This section will not appear until a Host Organisation been added in Part A.)
Cross-Scheme Eligibility

– Within the Discovery Program a researcher can be funded for a maximum of:

• two Projects as a CI, or
• one ARC Fellowship or ARC Award, and one Project as a CI.

– CIs on funded ARC Centres of Excellence commencing in 2017 or later, Industrial Transformation Research Hubs commencing in 2015 or later, Industrial Transformation Training Centres commencing in 2016 or later, or Special Research Initiatives commencing in 2015 or later may only apply for or hold one Project/Award/Fellowship under the Discovery Program.

– A researcher cannot concurrently hold more than one ARC Fellowship or Award, and a holder of an ARC Fellowship or Award cannot concurrently hold a Fellowship from another Commonwealth funding agency. Successful ARC Fellows or Awardees will be required to relinquish other Commonwealth fellowships prior to the commencement of the ARC Fellowship or Award.

– A recipient of an ARC Fellowship or ARC Award cannot apply for a subsequent Fellowship or Award in the same scheme.

• A Proposal may only be submitted for funding that the researcher would be eligible to hold under these rules as at the Commencement Date of the Project/Fellowship/Award being applied for.
Host Organisations

– If the Future Fellowship Candidate proposes to undertake research at an organisation other than the Administering Organisation whilst holding a Future Fellowship, this organisation must be listed as a Host Organisation within the Proposal.

– Each Proposal may identify one or more Host Organisations which do not have to be Eligible Organisations listed in section A12.

– The Proposal must describe:
  • the extent of the collaboration between the Future Fellowship Candidate and the Host Organisation(s);
  • the extent to which the Host Organisation(s) will accommodate the Future Fellowship Candidate; and
  • how the Host Organisation(s) will provide a suitable Research Environment for the Future Fellowship Candidate.

– The Future Fellow may conduct research at the Host Organisation(s), provided that it is in the best interests of the research and its outcomes, and is of national benefit to Australia.
What support can I apply for?

- Salary and on-costs for the Future Fellow
- Up to $50,000 pa for basic non-salary items, such as infrastructure, equipment, travel and relocation costs directly related to the Future Fellow’s research.

The following budget items are supported:
- access to national and international research and infrastructure facilities
- access to Workshop Services
- Field Research essential to the Project
- expert services of a third party
- equipment (and its maintenance) and consumables
- personnel
- higher degree by research stipends;
- publication and dissemination of Project outputs and outreach activity costs;
- specialised computer equipment and software essential to the Project;
- travel costs
- web hosting
- workshops, focus groups and conferences (including reasonable hospitality costs such as morning tea, lunch and afternoon tea) that are necessary for the conduct of the proposed research.
- relocation costs
- **Duration of funding**: 4 years full-time
Strategic Statement by Admin Org

- **D2** Strategic Statement by the Administering Organisation
- (This question must be answered)
- Upload a PDF of no more than three A4 pages. A Strategic Statement must be provided by the Administering Organisation. The Strategic Statement must:
  - indicate that this area is a core or emerging area of research strength and describe the level of resources to be provided to support the successful candidate (for example, include project costs, PhD students, or salary top-up);
  - outline plans to retain the successful Future Fellow in a continuing position, subject to appropriate performance during the Fellowship;
  - where the Future Fellowship candidate is an existing employee of the Administering Organisation, describe how the salary that was previously provided by the Administering Organisation will be used; and
  - be signed by the Deputy Vice-Chancellor (Research and Innovation

**Standard URC contribution 2 PhD stipends is available**

*You draft this statement and email it to your ADR by 20/7 and then it is provided to the RSO after the ADR’s edits by Mon 27/7.*
Tips for writing a strong application

1. Start now – ask colleagues and an informed lay person to read your application and accept their feedback, Send your application to your ADR
2. Focus your application – capture your reader from the first sentence – compel them to read your application. Concise and clear writing. Clear title and opening paragraph
3. ROPE section – follow the instructions closely to ensure you have addressed all dor points
4. Presentation – use headings, good diagrams, timeline, spacing.
5. Follow the guidelines – have the ARC FT Instructions for applicants in front of you
6. Ask yourself – would you fund this? What is the potential impact of your research?
7. Ensure your project is new and innovative research and you follow the instructions
8. Have a realistic timeline – that is consistent with your publication rate
ARC Assessment Process

Assignment of reviewers and assessment of FT applications was undertaken members of the ARC College in 2015

**FoR Codes and word cloud used to identify assessors for your proposal.** Keywords no longer captured in the application form – word cloud has replaced this

- **Arranged into 5 panels:**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>BSB</td>
<td>Biological Sciences and Biotechnology</td>
</tr>
<tr>
<td>EMI</td>
<td>Engineering, Mathematics and Informatics</td>
</tr>
<tr>
<td>HCA</td>
<td>Humanities and Creative Arts</td>
</tr>
<tr>
<td>PCE</td>
<td>Physics, Chemistry and Earth Sciences</td>
</tr>
<tr>
<td>SBE</td>
<td>Social, Behavioural and Economic Sciences</td>
</tr>
</tbody>
</table>
ARC Assessment Process

College Members:
  • Review and rank
  • Use keywords to assign assessors

Detailed Readers:
  • Understand the field, but may not be experts
  • Assess, rank and provide a report

Detailed Specialist Readers:
  • Experts in the field
  • Assess and provide a report

Note that up to 8 assessors are assigned (4 + 4 reserves). You won’t necessarily get 2 detailed and 2 specialist readers (e.g. could be 3 + 1; 1 + 3; or fewer than 4) depends on who is in the ARC database and on acceptance rates.
Resources

• **Associate Deans Research and Colleagues**
  – Can provide invaluable advice and review

• **Statistical Consulting Service**
  – Appointments: Kerrie Gamble, x4308

• **RSO Grants Support:**
  – Finance Kit and templates
  – Grant Writing Workshop presentations

• **ARC Website:** [www.arc.gov.au](http://www.arc.gov.au)
  – Information on previously funded grants provided in selection reports
Process from now

1. You must be approved to apply by your Faculty ADR
2. Look at arc.gov.au – check what FT’s were funded last round in your FoR code
3. Download the Funding Rules, Instructions to Applicants, RMS 2.0 Instructions and refer to the FAQ’s.
4. Start your application in RMS 2.0
5. Seek feedback to enhance the competiveness of your application
   - Project Descriptions to Faculty ADR’s, mentors and peers
   - ROPE sections to peers for feedback
6. Refer to the UOW timetable
7. Have your grammar checked
8. Let us know if you are not available or not on campus 20/7 – 11/8/2015
9. Part D2 Word version emailed to research-grants@uow.edu.au by 20 July 2015
10. Due to RSO 9am Tuesday 21 July 2015 – complete draft in hardcopy or by email if off campus

The Grants Team will complete a compliance and eligibility check and provide feedback – quick turnaround in the last 3 weeks

Assessment reports will be available in October – Rejoinder session will be held then
RSO Contacts

Specific Advice and Feedback

General email: research-grants@uow.edu.au  phone  x3160
Libby McMahon, Grants Manager
James Walsh, Grants Officer
Lucas Hughes, Grants Officer
Sue Dellit, Grants Assistant